Sun Pharmaceutical Industries Ltd. Sun House, Plot No. 201 B/1,

Western Express Highway, Goregaon (E), Mumbai - 400 063, Maharashtra, INDIA.

Tel.: (91-22) 4324 4324 Fax: (91-22) 4324 4343 www.sunpharma.com

CIN: L24230GJ1993PLC019050



## October 23, 2019

National Stock Exchange of India Ltd, Exchange Plaza, 5th Floor, Plot No. C/1, G Block, Bandra Kurla Complex, Bandra (East), Mumbai – 400 051.

NSE Code: SUNPHARMA

BSE Limited., Market Operations Dept. P. J. Towers, Dalal Street, Mumbai - 400 001. Security Code: 524715

Dear Sirs,

**Ref** : ISIN - INE044A01036

Subject: Compliance Certificate - Regulation 40(9) of SEBI (Listing Obligations and

Disclosure Requirements) Regulations, 2015.

As per the requirements of Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find herewith the Compliance Certificate dated October 23, 2019 issued by M/s. Veenit Pal & Associates, Practicing Company Secretaries, for the half year ended 30<sup>th</sup> September, 2019.

This is for your information and records.

Yours faithfully,

For Sun Pharmaceutical Industries Ltd

Ashok I. Bhuta

**Compliance Officer** 

Encl: as above

cc to:

 National Securities Depository Ltd., Trade World, Kamla Mills Compound, Lower Parel, Mumbai - 400 012.

Central Depository Services (India) Ltd,
P. J. Towers, 17th Floor,
Dalal Street, Mumbai - 400 001.

3) Link Intime India Pvt. Ltd, C 101, 247 Park, L B S Marg, Vikhroli West, Mumbai 400 083

## **VEENIT PAL & ASSOCIATES**

Company Secretaries



## CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

## FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2019

I have examined Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s SUN PHARMACEUTICAL INDUSTRIES LIMITED (the Company) maintained by LINK INTIME INDIA PRIVATE LIMITED, MUMBAI, Registrar and Share Transfer Agents pertaining to transfer of Equity Shares of the Company for the period from 01st April, 2019 to 30th September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided to me, I hereby certify that the Company has delivered during the half year ended on 30th September, 2019:

- A) 1. Share certificates relating to the transfer of equity shares received by the Company during the period from 01<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019, as entered in the memorandum of transfers have been issued within 30 days of the date of lodgement for transfer from respective date of lodgement for transfer of each deed except those rejected on technical grounds;
  - 2. Share certificates relating Deletion of names request received by the Company during the period from 01<sup>st</sup> April, 2019 to 30<sup>th</sup> September, 2019 have **be**en issued within 30 days of the date of lodgement of each Deletion request except those rejected on technical grounds.
  - 3. During the period 01st April, 2019 to 30th September, 2019, the Company has received request for sub-division/consolidation/Duplicate have be issued within stipulated time of lodgement but has not received any request for renewal, endorsement of calls/allotment monies as per records provided to me for verification during the course of audit.
- B) Any other information, if applicable: NIL

For Veenit Pal & Associates Company Secretaries

Veenit Pal (Proprietor) M. No. 25565

COP No. 13149

Place:- Mumbai Date:- 23.10.2019

UDIN:- A025565A000150789

INTIFIED-TRUE COPY

For Sun Pharmaceutical Industries Limited

Ashok I. Bhuta Compliance Officer