

# UPENDRA SHUKLA

*B. Com., F C. S*

*Company Secretary*

504, Navkar,  
Nandapatkar Road,  
Vile Parle East, Mumbai - 400 057  
Resi : 2611 8257  
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The Company Secretary,  
ALICON CASTALLOY LIMITED,  
Gat No. 1426  
Village Shikrapur,  
Taluka Shirur,  
Dist- Pune

**Certificate under regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2021.**

I have examined all the Share Transfer Deeds, Register of Shares Transfer, Register of Members, files and other documents relating to Alicon Castalloy Limited, maintained by M/s. Universal Capital Securities Pvt. Ltd., Share Transfer Agents, pertaining to transfer of Equity Shares of the Company for the period from 01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for the purpose of issuing a Certificate as per regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that –

A) There was no case of transfer/ transmission, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 01<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021.

B) Any other information: Nil

UDIN: F002727C000119461

Date: 17/04/2021

Place: Mumbai

(U.C. SHUKLA)  
COMPANY SECRETARY  
FCS: 2727/CP:1654