

To,
The Bombay Stock Exchange Limited
Listing / Corporate Listing Department
Floor No. 25, P.J. Towers, Dalal Street,
Mumbai – 400 001

May 24, 2024

Dear Sir/Madam,

Sub: Annual Secretarial Compliance Report for the financial year ended March 31, 2024 as per Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Scrip code: 521228

In accordance with Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations') read with SEBI Circular No. CIR/CFD/CMD1//27/2019 dated February 08, 2019, please find enclosed the annual secretarial compliance report for the financial year ended March 31, 2024.

This is for your kind information and records.

Thanking You.

Yours faithfully,
For **TATIA GLOBAL VENTURE LIMITED**

(NIHARIKA GOYAL)
Company Secretary and Compliance Officer

Enclosed: Compliance Report issued by Practising Company Secretary

Secretarial compliance report of M/S Tatia Global Vennture Limited for the year ended 31st March, 2024

We, Lakshmi Subramanian and Associates, have examined all the documents and records made available to us and explanation provided by M/s Tatia Global Vennture Limited ("the listed entity"),

- (i) the filings/ submissions made by the listed entity to the stock exchanges,
- (ii) website of the listed entity,
- (iii) annual returns filed with the Ministry of Corporate Affairs, which has been relied upon to make this certification,
- (iv) Any other documents, as may be relevant, which has been relied upon to make this certification

for the year ended 31st March, 2024 ("Review Period") in respect of compliance with the provisions of:

- a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act ") and the regulations, circulars, guidelines issued thereunder; and
- b) The Securities Contracts (Regulation) Act, 1956 (SCRA) rules made thereunder and the regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI").

The Specific regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (d) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- (e) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; (Not applicable during the review period)
- (f) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; (Not applicable during the review period)



- (g) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021; (Not applicable during the review period)
- (h) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; (Not applicable during the review period)
- (i) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 (Not applicable during the review period)
- (j) Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2021 (Not applicable during the review period)
- (k) Securities and Exchange Board of India (Investor Protection and Education Fund) Regulations, 2009 (Not applicable during the period under review)
- (l) Securities and Exchange Board of India (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003

Based on the above examination, we hereby report that, during the Review Period:

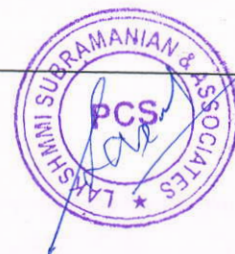
- (a) The listed entity has maintained proper records under the provisions of the above Regulations and Circulars/guidelines issued thereunder insofar as it appears from our examination of those records.
- (b) No actions have been taken against the listed entity, its directors either by SEBI or by Stock Exchange under the aforesaid acts/ Regulations/Circulars/Guidelines issued thereunder.
- (c) The listed entity has complied with the clauses 6(a) and 6(b) as mentioned in SEBI Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019 with regard to the Appointment of Statutory Auditors.

We hereby report that, during the Review Period the compliance status of the Listed Entity is appended as below:

Sr. No.	Particulars	Compliance status (Yes/No/NA)	Observations/Remarks by PCS*
1.	<u>Secretarial Standard:</u> The compliances of listed entities are in accordance with the Auditing Standards issued by ICSI, namely CSAS-1 to CSAS-3	Yes	Nil
2.	<u>Adoption and timely updation of the Policies:</u>	Yes	Nil



	<ul style="list-style-type: none"> • All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities • All the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulations/circulars/guidelines issued by SEBI 		
3.	<p><u>Maintenance and disclosures on Website:</u></p> <ul style="list-style-type: none"> • The Listed entity is maintaining a functional website • Timely dissemination of the documents/ information under a separate section on the website • Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which redirects to the relevant document(s)/ section of the website 	Yes	Nil
4.	<p><u>Disqualification of Director:</u></p> <p>None of the Director of the Company are disqualified under Section 164 of Companies Act, 2013</p>	Yes	Nil
5.	<p><u>To examine details related to Subsidiaries of listed entities:</u></p> <p>(a) Identification of material subsidiary companies (b) Requirements with respect to disclosure of material as well as other subsidiaries</p>	NA	The Company does not have any material Subsidiaries.
6.	<p><u>Preservation of Documents:</u></p> <p>The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI LODR Regulations, 2015</p>	Yes	Nil



7.	<u>Performance Evaluation:</u> The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI Regulations	Yes	Nil
8.	<u>Related Party Transactions:</u> (a) The listed entity has obtained prior approval of Audit Committee for all Related party transactions (b) In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit committee	Yes	Nil
9.	<u>Disclosure of events or information:</u> The listed entity has provided all the required disclosure(s) under Regulation 30 alongwith Schedule III of SEBI LODR Regulations, 2015 within the time limits prescribed thereunder.	Yes	Nil
10.	<u>Prohibition of Insider Trading:</u> The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI (Prohibition of Insider Trading) Regulations, 2015	Yes	Nil
11.	<u>Actions taken by SEBI or Stock Exchange(s), if any:</u> No Actions taken against the listed entity/ its promoters/directors/ subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/ guidelines issued thereunder	Reported separately hereunder	Action has been taken against the entity during the last period under review by the MCA, However, the matter is pending.
12.	<u>Additional Non-compliances, if any:</u> No any additional non-compliance observed for all SEBI regulation/circular/guidance note etc.	NA	No additional non compliances have been observed during the period under review



The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:

S. No	Compliance Requirement (Regulations / Circulars / guidelines including specific clause)	Regulation/Circular No	Deviations	Action taken by	Type of Action	Details of violation	Fine amount	Observations / Remarks of Practising Company Secretaries	Management Response	Remarks
1.	MCA	Section 134(5)(a) Read with Rule 8 & 8A of the Companies (Accounts) Rules, 2014 of the Companies Act, 2013.	The Company in the Director's report for the FY 2014-15 has not disclosed on the particulars of such Investment/loan in accordance with AS 18 under related party transaction.	The Company received an Order of Adjudication of penalty dated 05-01-2024.	Imposed penalty to the Company and to Directors and CFO.	The Company in the Director's report for the FY 2014-15 has not disclosed on the particulars of such Investment/loan in accordance with AS 18 under related party transaction.	Imposed a penalty Rs. 9,00,000 to the Company and Rs. 1,50,000 to the Director Mr. Bharat Jain Tatia, Rs. 1,00,000 to the CFO Mrs. Namrata parekh and Rs. 50,000 to CFO Mr. Subbarayan Ekambaram.	There is a Violation of Section 134(5)(a) Read with Rule 8 & 8A of the Companies (Accounts) Rules, 2014 of the Companies Act, 2013.	Taking suitable legal Action	Matter is pending



2.	MCA	Section 118(10) read with para 1.2.4 of SS 2 of the Companies Act, 2013.	In the notice of the AGM of the Company for the FY 2016 did not contain the route map and prominent landmark of the location of the meeting.	The Company received an Order of Adjudication of penalty dated 05-01-2024.	Imposed penalty to the Company.	In the notice of the AGM of the Company for the FY 2016 did not contain the route map and prominent landmark of the location of the meeting.	Imposed a penalty of Rs. 25,000 to the Company and Rs. 5,000 to each of the officers in default.	There is a Violation of Section 118(10) read with para 1.2.4 of the Companies Act, 2013.	Taking suitable legal Action	Matter is pending
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The listed entity has taken the following actions to comply with the observations made in previous reports:

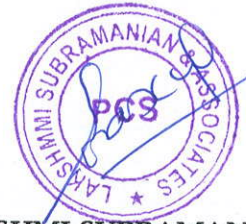
S . No	Compliance Requirement (Regulations / Circulars/guidelines including specific clause)	Regulation /Circular No	Deviations	Action taken by	Type of Action	Details of violation	Fine amount	Observations / Remarks of Practicing Company Secretaries	Management Response	Remarks
	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA

FOR LAKSHMMI SUBRAMANIAN & ASSOCIATES

PRACTICING COMPANY SECRETARIES

Date: 10-05-2024

Place: Chennai



LAKSHMI SUBRAMANIAN

Senior Partner

FCS No: 3534

CP No: 1087

Peer Review No:1670/2022

UDIN: F003534F000345975