



LLOYDS STEELS INDUSTRIES LIMITED

Corporate Office : 16th Floor, Trade World, 'C' Wing, Kamala City, Senapati Bapat Marg, Lower Parel (W), Mumbai 400 013.
Tel: 91-22-6291 8111 email : infoengg@lloyds.in, website: www.lloydsengg.in CIN : L28900MH1994PLC081235

PRRG /LSIL/BSEL/2020

08.07.2020

BSE Limited,

The Department of Corporate Services,
27th Floor, P.J. Towers, Dalal Street,
Mumbai – 400 001

Dear Sirs/Madam,

**Sub: Annual Secretarial Compliance Report for the year ended
31.03.2020.**

**Ref: Scrip Code : 539992
SEBI Circular No.CIR/CFD/CMD1/27/2019 dated 08.02.2019**

With reference to the above subject and in terms of the SEBI Circular No.CIR/CFD/CMD1/27/2019 dated 8th February, 2019, we are submitting herewith Annual Secretarial Compliance Report of the Company for the year ended 31st March, 2020.

We request you to kindly take the same on record.

Thanking You,

Yours faithfully,

For Lloyds Steels Industries Limited

**P.R. Ravi Ganesan
CFO & Company Secretary**



Encl: A/a.



H. MAHESHWARI & ASSOCIATES
COMPANY SECRETARIES

ANNUAL SECRETARIAL COMPLIANCE REPORT

(Pursuant to Regulation 24A of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations 2015)

of

Lloyds Steels Industries Limited
for the year ended 31st March 2020

I, Hemaantt Maheshwari, Company Secretary in Practice have examined:

- (a) all the documents and records made available to us and explanation provided by **Lloyds Steels Industries Limited** ("the Company") and its officers;
- (b) the filings/ submissions made by the Company to the stock exchanges, website of the Company ; and
- (c) such other documents and filings, as may be relevant, which has been relied upon to make this certification,

for the year ended 31st March 2020 ("Reporting Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;- **Not Applicable during the review period;**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;-**Not Applicable during the review period;**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;- **Not Applicable during the review period;**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;- **Not Applicable during the review period;**



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Mob : 9930081687 Landline : 022 - 40057404 E-mail : hmandco101@gmail.com

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COMPANY SECRETARIES

- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; - **Not Applicable during the review period;**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) Securities and Exchange Board of India (Depositories and Participants Regulations) 2018 and circulars/ guidelines issued thereunder.

Based on the above examination, I hereby report that, during the Review Period:

- (a) The Company has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
NOT APPLICABLE			

- (b) The Company has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
NOT APPLICABLE				



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COMPANY SECRETARIES

(d) The Company has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 st March 2019	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
	No Observation found provided	No Observation found provided	Hence Not Applicable	Not Applicable

(e) The listed entity has complied with the provisions of SEBI Circular CIR/CFD/CMD1/114/2019 dated 18th October, 2019 in respect of appointment of Auditor, to the extent applicable.

For H. MAHESHWARI & ASSOCIATES
(Formerly AKM & Associates)
Company Secretaries



Hemaantt

HEMAANTT MAHESHWARI
(Formerly Arun Kumar Mohta)
Proprietor
ACS No. : 26145
C P No. : 10245
UDIN : A026145B000392104

DATE: 19TH JUNE 2020
PLACE : MUMBAI

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