



IIFL SECURITIES

October 17, 2019

<p>The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 542773</p>	<p>The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLSEC</p>
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Dear Sir/Madam,

Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended September 30, 2019

Please find enclosed herewith Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended September 30, 2019.

Kindly take the same on record and oblige.

Thanking You,

Yours faithfully,

For IIFL Securities Limited

Jayesh Upadhyay
Company Secretary

Email: secretarial@iifl.com



Encl: as above

IIFL Securities Limited (Formerly known as "India Infoline Limited")

Corporate Identity Number: U99999MH1996PLC132983 IIFL Tech Center: 6th Floor, Ackruti Center Point, Central Road, MIDC, Andheri (E), Mumbai-400093 Regd. Office: IIFL House, Sun Infotech Park, Road No. 16V, Plot No. B-23, MIDC, Thane Industrial Area, Agle Estate, Thane - 400 604 Tel: (91-22) 3929 4000/ 4103 5000 • Fax: (91-22) 2580 6654 • Website: www.iifl.com

Ref: _____

UDIN: F004554A000109362

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 30.09.2019

I have examined all Share Transfer Deeds, Memorandum of Transfers Registers, files and other documents relating to **IIFL SECURITIES LIMITED** maintained by M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from 20th September, 2019 (the date on which the Securities of the Company were Listed on Stock Exchanges and available for Trading) to 30th September, 2019 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to IIFL Securities Limited and based on the information provided by the Company and the Registrar, I hereby certify that the Company has not received any request relating to the Transfer, Transmission, Transposition, Name Deletion, Sub-division, Consolidation, Replacement & Issue of Duplicate Share Certificate and Exchange of Certificate, Endorsement for calls/allotment Money during half year ended on 30th September, 2019.

Date: 12/10/2019

Place: Mumbai

Signature: _____



NILESH G. SHAH.
Company Secretary
C. P. No: 2631



12/10/19