

# Gautam Gems Ltd

"We stand for Quality"



Date: 10/10/2019

To,  
**BSE Limited**  
Phiroze Jeejeebhoy Towers  
Dalal Street,  
Mumbai- 400001.

**REF: Gautam Gems Limited (Scrip Code-540936)**

Dear Sir/ Madam,

**Subject: Compliance Certificate pursuant to Regulation 7(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Half year ended on 30<sup>th</sup> September, 2019**

Please find attached herewith Compliance Certificate under Regulation 7(3) of the SEBI (LODR), Regulation 2015.

Kindly acknowledge the receipt of the same.

**Thanking You**

**For, GAUTAM GEMS LIMITED**

*Gautam P. Sheth*

**GAUTAM PRAVINCHANDRA SHETH  
MANAGING DIRECTOR**



COMPLIANCE CERTIFICATE

THIS IS TO CERTIFY that, KARVY FINTECH PRIVATE LIMITED (KFPL) is providing Registry and Share Transfer services to GAUTAM GEMS LIMITED and having the valid agreement in the form of Memorandum of Understanding (MOU).

FURTHER CERTIFIED that KFPL:

- a. Holds and continues to hold requisite certificate as Category I Registrar & Share Transfer Agent vide SEBI Registration No. INR000000221 issued by Securities Exchange Board of India (SEBI).
- b. Handling all activities in relation to physical share transfer facility and maintaining related documents/correspondence for all the transactions in physical in respect of the Company as per the regulations.
- c. Maintaining the data in respect of investors holding shares in electronic form (Demat) as received from the depositories for a minimum period of one year.

THIS CERTIFICATE is issued in compliance of Regulation 7(3) of the provisions of SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.

For KARVY FINTECH PRIVATE LIMITED

Authorised Signatory

Place: Hyderabad  
Date: 04/10/2019



For, GAUTAM GEMS LIMITED

ANILBHAI MODHAVADIYA  
COMPANY SECRETARY

PLACE: SURAT  
DATE: 10/10/2019