

# Honeywell

Honeywell Automation India Limited  
CIN: L29299PN1984PLC017951  
Regd. Office: 56 & 57, Hadapsar Industrial  
Estate, Pune - 411 013, Maharashtra  
Tel: +91 20 7114 8888  
E-mail: India.Communications@Honeywell.com  
Website: <https://www.honeywell.com/en-us/global/en-in/hail>

May 28, 2020

To  
The Manager – Compliance Department  
National Stock Exchange of India Limited  
'Exchange Plaza' Bandra Kurla Complex,  
Bandra (East) Mumbai 400051  
NSE Symbol : HONAUT

To  
The Manager – Compliance Department  
BSE Limited  
Floor 25, P.J.Tower, Dalal Street  
Mumbai 400001  
BSE Scrip Code 517174

Dear Sir,

**Sub: Certificate under Regulation 40 (9) for the half year ended March 31, 2020**

Pursuant to Regulation 40(10), please find enclosed the Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2020.

The above is for your information and record.

Thanking You,

Yours Sincerely,

**For Honeywell Automation India Limited**



**Farah Irani  
Company Secretary**

**Encl: A/a**

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31<sup>st</sup> MARCH, 2020.**

The Securities and Exchange Board of India vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out in dematerialized form only w.e.f. 01<sup>st</sup> April, 2019. Further, SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019.

Accordingly, on the basis of examination of the relevant documents relating to HONEYWELL AUTOMATION INDIA LIMITED ('the Company') maintained and provided electronically by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) 1.a. The Company has delivered during half year ended on 31<sup>st</sup> March, 2020 share certificates relating to the transfer deeds lodged prior to 01<sup>st</sup> April, 2019 and re-lodged thereafter, received during the period from 01<sup>st</sup> October, 2019 to 31<sup>st</sup> March, 2020, within thirty days, as entered in the Memorandum of Transfers from the respective date of lodgment.

1. b. The Company has delivered during half year ended on 31<sup>st</sup> March, 2020 share certificates in respect of requests relating to transmission of securities, deletion of name and renewal received during the period from 01<sup>st</sup> October 2019 to 31<sup>st</sup> March, 2020, within thirty days, from the respective date of lodgment. As informed to us there were no requests relating to transposition of securities, sub-division (split), consolidation, exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: Physical verification of the documents could not be done due to the lockdown on account of COVID-19 situation.

Place: Mumbai  
Date: May 28, 2020

**For Parikh & Associates**

**P N**

**Parikh**

Digitally signed by P N Parikh  
DN: cn=IN, o=Personal,  
postalCode=400104,  
st=Maharashtra,  
2.5.4.20=02a59473a64d87ecbdddfe0  
a8e3ad70b06b16d5d30bb138b26d  
cc8c4b693f8ed1,  
serialNumber=665dfe9f91e97323  
78e198497c8be1a9a5543aafae294f  
e7c98b0c5e69c2fc, cn=P N Parikh  
Date: 2020.05.28 11:03:52 +05:30'

**P. N. Parikh**

**FCS: 327 CP: 1228**

**UDIN: F000327B000283401**