

Ref No: 09/SE/CS/DEC/2023-24

Date: December 26, 2023

To,

<b>Listing Department</b> <b>BSE Limited</b> <b>Phiroze Jeejeebhoy Towers,</b> <b>Dalal Street, Mumbai – 400001</b>	<b>Listing &amp; Compliance Department</b> <b>National Stock Exchange of India Limited</b> <b>Exchange Plaza, 5th Floor</b> <b>Plot No. C/1, “G” Block</b> <b>Bandra-Kurla Complex</b> <b>Bandra (E), Mumbai – 400 051</b>
------------------------------------------------------------------------------------------------------------------------------	-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------

**BSE Scrip Code: 544020**

**NSE Symbol: ESAFSFB**

Dear Sir(s),

**Sub: Comments of the Board of Directors on email from BSE Limited dated December 15, 2023, with respect to fine paid by the Bank for delayed submission of financial results for the quarter and half year ended on September 30, 2023 under Regulation 52 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI LODR Regulations)**

We refer to our letter no. 06/SE/CS/DEC/2023-24 dated December 16, 2023, intimating the fine levied by BSE Limited for delayed submission of the Financial Results under Regulation 52 of SEBI LODR Regulations. BSE Limited under applicable SOP Circular has imposed fines on the Company for non-compliance of Regulation 52 (Financial Results), of SEBI LODR Regulations) as per details below:

<b>Name of the Stock Exchange</b>	<b>Applicable Regulation under Listing Regulations</b>	<b>Amount of Fine</b>
BSE Limited	Regulation 52	15000/- + GST

The aforesaid Stock Exchange Notice was placed before the Board of Directors at their meeting held on December 26, 2023 and the members of the Board after discussion and deliberation, have provided their comments as under:

*“The listing of equity shares through Initial Public Offering of equity shares was completed on November 10, 2023 and therefore there was a consequential delay of three days in submission of the financial results for the quarter and half year ended on September 30, 2023. The Board further advised the management to ensure timely compliance going forward.”*

**ESAF SMALL FINANCE BANK LIMITED**

RBI License No.: MUM 124, CIN: U65990KL2016PLC045669

Registered & Corporate Office: ESAF Small Finance Bank Limited, Building No. VII/83/8, ESAF Bhavan, Mannuthy, Thrissur - Palakkad National Highway, Thrissur - 680 651, Kerala.

Ph. No: 0487 7123456, 123457, Email: [customercare@esafbank.com](mailto:customercare@esafbank.com) [www.esafbank.com](http://www.esafbank.com)

This intimation shall be available on the Bank's website at [www.esafbank.com](http://www.esafbank.com).

Kindly take the same into records.

Thanking you,

Yours faithfully,

For **ESAF Small Finance Bank Limited**

**Ranjith Raj P**  
**Company Secretary and Compliance Officer**