

July 12, 2021

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. BSE Scrip Code: 542772	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. NSE Symbol: IIFLWAM
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Dear Sir/Madam,

Sub: - Certificate under Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018

Please find enclosed certificate dated July 3, 2021 received from Link Intime India Pvt. Ltd., Registrar and Share Transfer Agent of the Company, for the period from April 1, 2021 to June 30, 2021. This report is submitted in compliance with Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Kindly take the same on record and acknowledge.

Thanking You,

Yours faithfully,

For **IIFL Wealth Management Limited**

Amit Bhandari
Company Secretary & Compliance Officer
Email Id: secretarial@iiflw.com
Encl: a/a

IIFL WEALTH MANAGEMENT LIMITED

Corporate & Registered Office:
IIFL Centre, Kamala City, Senapati Bapat Marg,
Lower Parel, Mumbai – 400 013
TEL: (91-22) 4876 5600 | FAX: (91-22) 4875 5606
www.iiflwealth.com

Date: 03.07.2021

To,
Company Secretary
IIFL Wealth Management Limited
IIFL Centre, Kamala City,
Senapati Bapat Marg, Lower Parel,
Mumbai - 400013

Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 30th June, 2021, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

SEBI vide its Circular No. SEBI/HO/MIRSD/RTAMB/P/CIR/2021/558 dated April 29, 2021 had extended the time line for processing the demat requests by the Issuer Company/RTA. We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the time lines mentioned in the aforesaid circular. We request you to kindly take note of the above in your records.

Note:- There were no request received from the shareholders for the quarter ended 30th June, 2021. This certificate is issued on the request of the company for compliance purpose.

We request you to kindly take note of the above in your records.

Thanking You,

**Yours faithfully,
For Link Intime India Pvt. Ltd**



**Balaji Sharma
Vice President-Corporate Registry**