



Date: 15/05/2020

To,
The Bombay Stock Exchange Ltd
Corporate Relationship Dept,
1stFloor, New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Fort, Mumbai - 400 001

BSE Scrip Code: 531240

Sub: Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirement) Regulations, 2015 for the half year ended 31.03.2020

Sir/Madam

We are enclosing herewith Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirement) Regulations, 2015 for the half year ended **31-03-2020** for your information and record.

Kindly acknowledge the Receipt.

Thanking You.
Yours Faithfully,
For Shamrock Industrial Company Limited

Pallavi Lakdawala
(CS & Compliance Officer)



Place: Mumbai

Enc: a/a

Pankaj Trivedi

B.Com, CS, LLB

Mob: 9224174118

Email: pankajtrivedicsllb@gmail.com

PANKAJ TRIVEDI & CO.

Practicing Company Secretaries

D/203, Crystal Plaza, New Link Road,

Opp. Infiniti Mall, Andheri (West),

Mumbai – 400053

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31ST MARCH, 2020

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Shamrock Industrial Company Limited** maintained by Registrar and transfer Agent **M/s. Bigshare Services Pvt. Ltd.** at Bharat Tin Works Building, 1st Floor, Opp. Vasant Oasis, Next to Keys Hotel, Makwana Road, Andheri (East), Mumbai - 400059, Maharashtra, pertaining to transfer of Equity Shares of the Company for the period from **01.10.2019 to 31.03.2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company. I hereby certify that the company has delivered, during the half year ended on **March 31st, 2020**.

- (a) The Company was not required to deliver Share Certificate relating to the Share Transfer. Since no transfer request was received and pending during the period from 01.10.2019 to 31.03.2020.
- (b) The Company was not required to deliver Share Certificates relating to the Share Transmission. Since there was no transmission request received and pending during the period from 01.10.2019 to 31.03.2020.
- (c) The company has not received any request for Duplicate Share Certificates, Split, Consolidate of Certificates, renewal, exchange or endorsement of calls/allotment monies during the period from 01.10.2019 to 31.03.2020.

Note: This Certificate pursuant to Regulation 40(9) of the SEBI (LODR) Regulation, 2015 for the half year ended 31.03.2020 has been submitted in according with the relaxation granted by the exchange due to Covid-19 pandemic vide SEBI Circular LIST/COMP/9/2020-21 dated 27.04.2020.

For Pankaj Trivedi & Co.
(Company Secretary in Practice)

UDIN: A030512B000241338

PANKAJ

NATVARL

AL TRIVEDI

Pankaj Trivedi

(Proprietor)

COP: 15301

ACS: 30512

Place: Mumbai

Date: 14th May, 2020

Digitally signed by PANKAJ
NATVARLAL TRIVEDI
DN: c=IN, o=Personal, cn=PANKAJ
NATVARLAL TRIVEDI,
serialNumber=cebea2e558ab07614
bb6ade2aac7358bb5e115d74392f2b
2dfa567ea9f09fcs,
postalCode=400067,
2.5.4.20=ed8f86407f467430a003b8
6f3a0910cd9f9cfe1c7d038374522d
de2669b811, st=Maharashtra
Date: 2020.05.14 20:12:17 +05'30'