



# UTTAM SUGAR MILLS LIMITED

Reply to :

Legal & Secretarial Department

A-11, Meerut Road Industrial Area, Ghaziabad - 201 003 (U.P.) INDIA

Telephone : 0120-4152766, E-mail : gramarathnam\_uttam@yahoo.com

January 13, 2021

**National Stock Exchange of India Ltd.  
Listing Department**  
"Exchange Plaza", Bandra-Kurla Complex,  
Bandra (E), Mumbai - 400 051

**BSE Limited  
Listing Department**  
P.J. Tower,  
Dalal Street,  
Mumbai - 400 001  
Ref. - Scrip Code - 532729

Ref. :- Symbol - UTTAMSUGAR

**Sub : Certificate under Regulation 74 (5) of SEBI (Depositories & Participants) Regulations, 2018.**

Dear Sirs,

Pursuant to the provisions of Regulation 74 (5) of SEBI (Depositories & Participants) Regulations, 2018, please find attached herewith a certificate received from M/s. Link Intime India Private Limited, Registrar and Share Transfer Agent of the company.

You are requested to take the above information on your record.

Yours faithfully,

**For Uttam Sugar Mills Ltd.**

**(Rajesh Garg)**

**Joint Company Secretary & Compliance Officer**



Encl. :- As above.

**Corporate Identity Number (CIN) : L99999UR1993PLC032518**

Registered Office : Village - Libberheri, Roorkee, District - Haridwar, Uttarakhand - 247667, India

Corporate Office : A-2E, III<sup>rd</sup> Floor, CMA Tower, Sector-24, Noida - 201 301, Uttar Pradesh, India

January 4, 2021

The Company Secretary  
M/s. Uttam Sugar Mills Limited  
A-11, Meerut Road, Industrial Area,  
Ghaziabad 201003

**Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.**

Dear Sir,

In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31<sup>st</sup> December, 2020, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

SEBI vide their Circular Nos. SEBI/HO/MIRSD/DOP/CIR/P/2020/62 dated April 16, 2020; SEBI/HO/MIRSD/DOP/CIR/P/2020/112 dated June 30, 2020 and SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020 had extended the time line/period of exclusion (March 23, 2020 to September 30, 2020) for processing the demat requests by the Issuer Company/RTA. We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the time lines mentioned in the aforesaid circulars.

We request you to kindly take note of the above in your records.

Thanking You,

Yours faithfully,  
For Link Intime India Pvt. Ltd



*Swapan Kumar Naskar*  
Swapan Kumar Naskar  
AVP- North India Operation