

13.10.2018

BSE Limited  
Department of Corporate Relationship  
1<sup>st</sup> Floor, New Trade Ring, Rotunda Building  
Phiroze Jeejeebhoy Towers  
Dalal Street, Mumbai- 400001  
Scrip Code: 524742

By BSE Listing

National Stock Exchange of India Ltd.,  
Department of Corporate Services  
Exchange Plaza, 5<sup>th</sup> Floor,  
C-1, Block G, Bandra Kurla Complex,  
Bandra (E), Mumbai – 400 051  
Scrip Code: CAPLIPOINT.

By NEAPS

Dear Sirs,

**SUB: SUBMISSION OF COMPLIANCE CERTIFICATE UNDER REGULATION 40(9) OF SEBI ( LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED SEPTEMBER 30,2018.**

Pursuant to Regulation 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we herewith enclose the certificate issued by M/s.G Ramachandran & Associates, Practising Company Secretaries under Regulation 40(9) of SEBI ( Listing Obligations and Disclosure Requirements) Regulations,2015 certifying that all the certificates have been issued within thirty days of the date of lodgement for transfer, sub-division, consolidation, renewal etc. for half year ended September 30,2018.

Kindly take the same on your records.

Thanking You,

Yours Faithfully,  
For Caplin Point Laboratories Limited



Company Secretary  
Enclosure: As above



**G RAMACHANDRAN & ASSOCIATES**  
COMPANY SECRETARIES

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS  
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 30<sup>TH</sup> SEPTEMBER, 2018**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **CAPLIN POINT LABORATORIES LIMITED** maintained by **M/s. Integrated Registry Management Services Private Limited**, 2<sup>nd</sup> Floor, Kences Towers, No. 1, Ramakrishna Street, North Usman Road, T Nagar, Chennai 600017 pertaining to transfer of equity shares of the company for the period from **1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018** for the purpose of issuing a Certificate under Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements), Regulations, 2015 entered into by **CAPLIN POINT LABORATORIES LIMITED** with NSE and BSE and based on the information provided by the Company, we, hereby certify that the Company has delivered during half year ended on 30<sup>th</sup> September, 2018:

- A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018 as entered in the Memorandum of Transfers have been issued within Thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) Any other information, if applicable. Nil

For **G RAMACHANDRAN & ASSOCIATES**  
Company Secretaries



**G. RAMACHANDRAN**  
Proprietor  
FCS No.9687 CoP. No.3056

Place: Chennai  
Date: 13<sup>th</sup> October, 2018