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# SMC GLOBAL SECURITIES LIMITED

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Clearing & Trading Member : Cash, F&O, Currency, Debt & Commodity

SEBI Regn. No. : INZ 000199438 • Research Analyst No. : INH100001849 • Investment Adviser No. : INA100012491

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E-mail : smc@smcindiaonline.com Website : www.smcindiaonline.com

Date: 22<sup>nd</sup> September, 2023

<b>Listing Operations</b> <b>BSE Limited,</b> <b>P J Towers, Dalal Street,</b> <b>Mumbai-400001, India</b>  <b>Scrip Code: 543263</b>	<b>Listing Department</b> <b>National Stock Exchange of India Limited,</b> <b>Exchange Plaza, C-1, Block G,</b> <b>Bandra Kurla Complex,</b> <b>Bandra</b> <b>(E) Mumbai – 400051</b>  <b>Symbol: SMCGLOBAL</b>
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## Subject: Voting Results of Postal Ballot along with Scrutinizer's Report

Dear Sir/Ma'am,

In furtherance to our earlier communication dated 22<sup>nd</sup> August, 2023 informing the investors about the postal ballot conducted for seeking approval of the Members by way of special resolution(s) for:

- Appointment of Mr. Dinesh Kumar Sarraf (DIN:00147870) as a Non-Executive Independent Director of the Company;
- Appointment of Mr. Hemant Bhargava (DIN: 01922717) as an Independent Director of the Company; and
- Change in designation of Ms. Shruti Aggarwal (DIN: 06886453) from Non-Executive Director to Whole Time Director- Tech, Strategy and Innovation

In this regard, it is hereby informed that the remote e-voting period commenced from Wednesday, 23<sup>rd</sup> August, 2023 at 9:00 AM, and ended on 5:00 PM on Thursday, 21<sup>st</sup> September, 2023.

Hence, in accordance with Regulation 44(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith result of remote e-voting in the prescribed format along with Scrutinizer's report for the resolution proposed for approval of the Members through Postal Ballot.

Please note that the resolutions have been passed by the Members with requisite majority. The result of the remote e-voting and Report of the Scrutinizer are also being hosted on the website of the Company i.e. [www.smcindiaonline.com](http://www.smcindiaonline.com).

This is for your information and record.

For SMC Global Securities Limited

**Suman Kumar**

**E.V.P. (Corporate Affairs & Legal), Company Secretary & General Counsel**  
**Membership No. F5824**

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**RESULTS OF REMOTE E-VOTING PURSUANT TO REGULATION 44 OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

<b>Date of Postal Ballot Notice</b>	9 <sup>th</sup> August, 2023
<b>E-voting start date</b>	23 <sup>rd</sup> August, 2023, Wednesday at 9:00 am (IST)
<b>E-voting end date</b>	21 <sup>st</sup> September, 2023, Thursday at 5:00 pm (IST)
<b>Total number of shareholders on record date (i.e. 18<sup>th</sup> August, 2023)</b>	15529
<b>No. of shareholders present in the meeting in person or proxy</b>	NA
<b>No. of shareholders present in the meeting or through video conferencing</b>	NA

Resolution Required : (Special)		1 - APPOINTMENT OF MR. DINESH KUMAR SARRAF (DIN:00 147870) AS A NON-EXECUTIVE INDEPENDENT DIRECTOR OF THE COMPANY						
Whether promoter/ promoter group are interested in the agenda/resolution?		No						
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	$[3]=\frac{[2]}{[1]}*100$	[4]	[5]	$[6]=\frac{[4]}{[2]}*100$	$[7]=\frac{[5]}{[2]}*100$
Promoter and Promoter Group	E-Voting	70534895	70524860	99.99	70524860	0	100.00	0.00
	Poll		0	0.00	0	0	0.00	0.00
	Postal Ballot		0	0.00	0	0	0.00	0.00
	<b>Total</b>		<b>70524860</b>	<b>99.99</b>	<b>70524860</b>	<b>0</b>	<b>100.00</b>	<b>0.00</b>
Public Institutions	E-Voting	1114820	1044088	93.66	1044088	0	100.00	0.00
	Poll		0	0.00	0	0	0.00	0.00
	Postal Ballot		0	0.00	0	0	0.00	0.00
	<b>Total</b>		<b>1044088</b>	<b>93.66</b>	<b>1044088</b>	<b>0</b>	<b>100.00</b>	<b>0.00</b>
Public Non Institutions	E-Voting	33050285	440096	1.33	438565	1531	99.65	0.35
	Poll		0	0.00	0	0	0.00	0.00
	Postal Ballot		0	0.00	0	0	0.00	0.00
	<b>Total</b>		<b>440096</b>	<b>1.33</b>	<b>438565</b>	<b>1531</b>	<b>99.65</b>	<b>0.35</b>
<b>Total</b>		<b>104700000</b>	<b>72009044</b>	<b>68.78</b>	<b>72007513</b>	<b>1531</b>	<b>99.998</b>	<b>0.002</b>

Resolution Required : (Special)		2 - APPOINTMENT OF MR. HEMANT BHARGAVA (DIN: 01 922717) AS AN INDEPENDENT DIRECTOR OF THE COMPANY						
Whether promoter/ promoter group are interested in the agenda/resolution?		No						
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	$[3]=\frac{[2]}{[1]}*100$	[4]	[5]	$[6]=\frac{[4]}{[2]}*100$	$[7]=\frac{[5]}{[2]}*100$
Promoter and Promoter Group	E-Voting	70534895	70524860	99.99	70524860	0	100.00	0.00
	Poll		0	0.00	0	0	0.00	0.00
	Postal Ballot		0	0.00	0	0	0.00	0.00
	<b>Total</b>		<b>70524860</b>	<b>99.98</b>	<b>70524860</b>	<b>0</b>	<b>100.00</b>	<b>0.00</b>
Public Institutions	E-Voting	1114820	1044088	93.66	964065	80023	92.34	7.66
	Poll		0	0.00	0	0	0.00	0.00
	Postal Ballot		0	0.00	0	0	0.00	0.00
	<b>Total</b>		<b>1044088</b>	<b>93.66</b>	<b>964065</b>	<b>80023</b>	<b>92.34</b>	<b>7.66</b>
Public Non Institutions	E-Voting	33050285	440096	1.33	438565	1531	99.65	0.35
	Poll		0	0.00	0	0	0.00	0.00
	Postal Ballot		0	0.00	0	0	0.00	0.00
	<b>Total</b>		<b>440096</b>	<b>1.33</b>	<b>438565</b>	<b>1531</b>	<b>99.65</b>	<b>0.35</b>
<b>Total</b>		<b>104700000</b>	<b>72009044</b>	<b>68.78</b>	<b>71927490</b>	<b>81554</b>	<b>99.89</b>	<b>0.11</b>



Resolution Required : (Special)		3 - CHANGE IN DESIGNATION OF MRS. SHRUTI AGGARWAL (DIN: 06886453) FROM NON-EXECUTIVE DIRECTOR TO WHOLE TIME DIRECTOR- TECH, STRATEGY AND INNOVATION.						
Whether promoter/ promoter group are interested in the agenda/resolution?		Yes						
Category	Mode of Voting	No. of shares held	No. of votes polled	% of Votes Polled on outstanding shares	No. of Votes - in favour	No. of Votes - Against	% of Votes in favour on votes polled	% of Votes against on votes polled
		[1]	[2]	$[3]=\{[2]/[1]\} * 100$	[4]	[5]	$[6]=\{[4]/[2]\} * 100$	$[7]=\{[5]/[2]\} * 100$
Promoter and Promoter Group	E-Voting	70534895	52688635	74.70	52688635	0	100.00	0.00
	Poll		0	0.00	0	0	0.00	0.00
	Postal Ballot		0	0.00	0	0	0.00	0.00
	<b>Total</b>		<b>52688635</b>	<b>74.70</b>	<b>52688635</b>	<b>0</b>	<b>100.00</b>	<b>0.00</b>
Public Institutions	E-Voting	1114820	1044088	93.66	355974	688114	34.09	65.91
	Poll		0	0.00	0	0	0.00	0.00
	Postal Ballot		0	0.00	0	0	0.00	0.00
	<b>Total</b>		<b>1044088</b>	<b>93.66</b>	<b>355974</b>	<b>688114</b>	<b>34.09</b>	<b>65.91</b>
Public Non Institutions	E-Voting	33050285	440096	1.33	438381	1715	99.61	0.39
	Poll		0	0.00	0	0	0.00	0.00
	Postal Ballot		0	0.00	0	0	0.00	0.00
	<b>Total</b>		<b>440096</b>	<b>1.33</b>	<b>438381</b>	<b>1715</b>	<b>99.61</b>	<b>0.39</b>
<b>Total</b>		<b>104700000</b>	<b>54172819</b>	<b>51.74</b>	<b>53482990</b>	<b>689829</b>	<b>98.73</b>	<b>1.27</b>

# A.K.ROY & ASSOCIATES

(Company Secretaries)

A-580,Shastri Nagar  
Delhi-110052

Mob-88660842788,8178885316  
email-csarvindroy@rediffmail.com

## FORM NO. MGT.13

### Report of Scrutinizer(s)

[Pursuant to section 109 of the Companies Act, 2013 and rule 21(2) of the Companies (Management and Administration) Rules, 2014]

**The Chairman**  
**SMC Global Securities Limited**  
**11/6-B, Shanti Chamber,**  
**Pusa Road, New Delhi-110005**

**Dear Sir,**

I, Arvind Kumar Roy from M/s A.K. Roy & Associates, Practicing Company Secretaries Firm, appointed as Scrutinizer(s) to conduct the Postal Ballot through remote e-voting process for seeking the approval of the members by way of special resolution(s) pursuant to Section 108 and section 110 of the Companies Act, 2013 and Rule 20 and 21 of the Companies (Management and Administration) Rules, 2014.

The Postal Ballot Notice dated 9<sup>th</sup> August, 2023, was issued pursuant to Section 108 and 110 of the Companies Act, 2013 (the Act) and Rule 20 and 22 of the Companies (Management and Administration) Rules, 2014, read with the General Circular Nos. 14/2020 dated April 8, 2020, 17/2020 dated April 13, 2020, 22/2020 dated June 15, 2020, 33/2020 dated September 28, 2020, 39/2020 dated December 31, 2020, 10/2021 dated June 23, 2021 and 20/2021 dated December 8, 2021, 3/2022 dated May 5, 2022 and 11/2022 dated December 28, 2022 issued by the Ministry of Corporate Affairs, Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, Secretarial Standard on General Meetings issued by the Institute of Company Secretaries of India and other applicable laws, rules and regulations (including any statutory modification or re-enactment thereof for the time being in force and as amended from time to time). The said Postal Ballot Notice along with statement setting out material facts under Section 102 of the Act was sent only through electronic mode to those Members whose e-mail addresses were registered with the Company / Depositories as on Friday, 18<sup>th</sup> August, 2023 i.e. the cut-off date.

The Company had engaged the services of Link Intime India Private Limited, Registrar and Share Transfer Agent of the Company as the authorized agency for providing the e-voting facility to the Members.

Pursuant to Rule 20(4) (xii) of the MGT Rules, I unblocked the voting on 21<sup>st</sup> September, 2023 in the presence of two witnesses' viz. Mr. Sadanand Rai and Mr. Lav Kumar, these witnesses are not in employment of the Company. I have scrutinized and reviewed the

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KUMAR ROY

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remote e-voting and votes cast therein based on the data-downloaded from Link Intime India Private Limited e-voting system.

1. I now submit the consolidated e-voting report as under:

**(a) Resolution No. 1: (Special Resolution)**

**Appointment of Mr. Dinesh Kumar Sarraf (DIN: 00147870) as a Non-Executive Independent Director of the Company**

(i) Voted **in favour** of the resolution:

Number of Members voted	Number of votes cast by them	% of total number of valid votes cast
142	72007513	99.998%

(ii) Voted **against** the resolution:

Number of Members voted	Number of votes cast by them	% of total number of valid votes cast
4	1531	0.002%

(iii) Invalid votes

Number of Members voted	Number of votes cast by them	% of total number of valid votes cast
0	0	0

**(b) Resolution No. 2: (Special Resolution)**

**Appointment of Mr. Hemant Bhargava (DIN: 01922717) as an Independent Director of the Company**

(iv) Voted **in favour** of the resolution:

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Number of Members voted	Number of votes cast by them	% of total number of valid votes cast
139	71927490	99.89%

(v) Voted **against** the resolution:

Number of Members voted	Number of votes cast by them	% of total number of valid votes cast
7	81554	0.11%

(vi) Invalid votes

Number of Members voted	Number of votes cast by them	% of total number of valid votes cast
0	0	0

**(C) Resolution No-3: (Special Resolution)**

**Change in designation of Ms. Shruti Aggarwal (DIN: 06886453) from Non-Executive Director to Whole Time Director- Tech, Strategy and Innovation.**

(i) Voted **in favour** of the resolution:

Number of Members voted	Number of votes cast by them	% of total number of valid votes cast
123	53482990	98.73%

(ii) Voted **against** the resolution:

Number of Members voted	Number of votes cast by them	% of total number of valid votes cast
19	689829	1.27%

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(iii) Invalid votes

Number of Members voted	Number of votes cast by them	% of total number of valid votes cast
0	0	0

**For A.K. Roy & Associates**

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**Arvind Kumar Roy**  
**FCS: 8308 CP No. 9147**  
**UDIN: F008308E001059182**  
**Peer Review No. 3404/2023**

**Date: 22<sup>nd</sup> September, 2023**  
**Place: New Delhi**