

ISMT/SEC/21-22

October 22, 2021

Listing Department
National Stock Exchange Of India Limited
Exchange Plaza, Plot No. C/1, G Block,
Bandra Kurla Complex, Bandra (E),
Mumbai-400051

Listing Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai-400001

Symbol: ISMTLTD

Scrip Code: 532479

Sub.: Reporting of violation under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to Company's Code of Conduct for Prohibition of Insider Trading


Dear Sir / Madam,

Please find enclosed herewith report of instances of violations under SEBI (Prohibition of Insider Trading) Regulations, 2015 relating to the Company's Code of Conduct for Prohibition of Insider Trading by Designated Persons in the prescribed format.

We request you to please take the same on record.

Thanking you,

Yours Faithfully,
For ISMT Limited


Chetan Nathani
Company Secretary
Encl: As above



5CT-0123, SL-0102



ISO 14001:2015

Corporate & Registered Office
Panama House (Earlier known as Lunkad Towers),
Viman Nagar, Pune - 411 014, India.
Phone : +91 20 41434100 / 66024901 - 04 | Fax : +91 20 26630779
www.ismt.com
CIN : L27109PN1999PLC016417

E-mail id: secretarial@ismt.co.in



ISO : 9001:2015



BS OHSAS 18001:2007
ISO : 14001:2015

**Report by ISMT Limited for violations related to Code of Conduct under SEBI
(Prohibition of Insider Trading) Regulations, 2015.**

Sr. No.	Particulars	Details	
1	Name of the listed company/ Intermediary/Fiduciary	ISMT Limited	
2	Please tick appropriate checkbox Reporting in capacity of : <input checked="" type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company	
3	A. Details of Designated Person (DP)		
	i. Name of the DP	Prismo (India) Ltd	Satya Leasing Co. Ltd
	ii. PAN of the DP	AACCP8406C	AADCS7234E
	iii. Designation of DP	-	-
	iv. Functional Role of DP	-	-
	v. Whether DP is Promoter or belongs to Promoter Group	Promoter Group	Promoter Group
	B. If Reporting is for immediate relative of DP		
	i. Name of the immediate relative of DP	NA	
	ii. PAN of the immediate relative of DP	NA	
	C. Details of transaction(s)		
	i. Name of the scrip	NSE : ISMTLTD BSE : 532479	
	ii. No of shares traded and value (Rs.) (Date- wise)	[Inter se transfer pursuant to which Satya Leasing Co. Ltd. acquired shares of the Company from Primo (India) Ltd.] Date – 01/07/2021 No. of shares traded –1,20,872 Value - Rs. 11.80 Lacs	
	D. In case value of trade(s) is more than Rs. 10 lacs in a calendar quarter		
	Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	21/10/2021	



ECT-0123, 5L-0102



ISO/ATF : 16949:2016

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ISMT LIMITED

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	Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	22/10/2021												
4	Details of violations observed under Code of Conduct	Delay in reporting of Disclosure to the Company under Reg. 7 of SEBI (PIT) Regulations, 2015												
5	Action taken by Listed company	Warning/ Disciplinary mail sent to both the Promoter Group entities												
6	Reasons recorded in writing for taking action stated above	The delay was inadvertent and there were no previous instances of such violation.												
7	Details of previous instances of violations, if any, since last financial year	None												
8	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	NIL												
	ii. Details of transfer/payment	NIL												
	In case of Online:													
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9	Any other relevant information	None												

For ISMT Limited

Chetan Nathani

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Company Secretary

Date: October 22, 2021

Place: Pune



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