

Schaeffler India Limited · Pune · Maharashtra

BSE Limited

Phiroze Jeejeebhoy Towers,
Dalal Street,
MUMBAI- 400 001

Company Code: 505790

National Stock Exchange of India Limited

Exchange Plaza, C - 1, Block G,
Bandra-Kurla Complex, Bandra (E)
MUMBAI- 400 051

Company Code: SCHAEFFLER

09/01/2024

Sub.: Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ('Listing Regulations').

Phone: +91 20 6819 8400

Dear Sir / Ma'am,

Pursuant to Regulation 40(10) of the Listing Regulations, we enclose herewith a Certificate issued by M/s. Samdani Kabra & Asso., Company Secretaries as required under Regulation 40(9) of the Listing Regulations, for the financial year ended December 31, 2023.

Kindly take the same on your records.

Thanking you,

Yours faithfully,
For **Schaeffler India Limited**

Ashish Tiwari
VP – Legal & Company Secretary

Encl.: As above

CERTIFICATE

For the Financial Year ended December 31, 2023

[Reg. 40(9) of SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015]

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deed(s) once lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfer shall be issued only in demat mode. SEBI had specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that the listed companies shall issue the securities in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/ folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to **Schaeffler India Limited** (CIN: L29130PN1962PLC204515) maintained and provided by 'Link Intime India Private Limited' (Registrar and Share Transfer Agent) pertaining to the financial year ended 31st December, 2023 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations, 2015, we hereby certify that:

- A) There were no requests received relating to transfer, transposition of securities, consolidation, sub-division, splitting or renewal or exchange of securities certificate and endorsement of calls / allotment monies during the year ended 31st December, 2023;
- B) The Company has issued 'Letter of Confirmation' as per SEBI circular dated January 25, 2022 to security holders in respect of requests received during the financial year ended 31st December, 2023, for transmission, deletion of names, issue of duplicate securities certificate and change of name within 30 days from the respective dates of lodgement except those rejected on technical grounds.



Note:

- i) *The Company has adopted "Calendar Year" as Financial Year as per Sec. 2(41) of the Companies Act, 2013 read with Regulation 2(1)(i) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015. [CLB Order dtd. 05.11.2015]*



Suresh Kumar Kabra
Partner
Samdani Kabra & Asso.
Company Secretaries
CP # 9927



UDIN: A009711E003150189
ICSI Peer Review No. 884/2020
Date: January 08, 2024
Place: Vadodara