

GANGES SECURITIES LIMITED

CIN - L74120UP2015PLC069869

REGD. OFFICE - P.O. HARGAON, DIST SITAPUR (U.P.), PIN - 261 121

Phone No. (05862) 256220-221; Fax No.: (05862) 256 225

E-mail - gangessecurities@birlasugar.org; Website-www.birla-sugar.com

October 13, 2020

The Secretary
National Stock Exchange of India
Ltd.
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra- Kurla Complex,
Bandra (E)
Mumbai 400 051

The Dy. General Manager
Corporate Relationship Department
BSE Ltd.
1st Floor, New Trading Ring,
Rotunda Building
P.J. Towers, Dalal Street, Fort,
Mumbai-400 001

✓
The Secretary
The Calcutta Stock Exchange
Ltd.
7, Lyons Range
Kolkata 700 001

Symbol : GANGESSECU

Stock Code : 540647

Stock Code : 17434

Dear Sir,

Sub: Compliance Certificate for the quarter and half year ended September 30, 2020

In pursuance of Regulation 40(10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we send herewith a copy of the Compliance Certificate dated October 12, 2020 received from M/s. S. M Gupta & Co., Company Secretaries, regarding issue of Share Certificates within 30 days of September 30, 2020.

Thanking you

Yours faithfully,
For **Ganges Securities Limited**

Vijaya Agarwala

Vijaya Agarwala
Company Secretary
ACS-38658

Encl.: as above

Fax : (033) 2236-7135
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Website : www.smgupta.com

Offi. : 2236 1385,2236 7135
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Mobile : 98300 57568

S. M. GUPTA & CO.
COMPANY SECRETARIES
P-15 BENTINCK STREET, CALCUTTA-700 001

Ref. No.....

Dated.....

CERTIFICATE
FOR THE HALF YEAR ENDED 30.09.2020

We have examined all Share Transfer Deeds, Register of Transfers, files and other documents relating to **M/s. GANGES SECURITIES LIMITED (CIN: L74120UP2015PLC069869)** maintained by them and their Share Transfer Agents (STA) M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from **01.04.2020** to **30.09.2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information/ explanation provided by the Company/ STA and on the basis of such checks which to the best of our knowledge and belief were necessary for the purpose of this certification including by way of remote audit, we hereby certify that the Company has generally issued during the above half year:-

- A) Share Certificate(s) relating to valid Share Transfer Deeds (re-lodgement cases)/ Transmission cases received during the period from **01.04.2020** to **30.09.2020** as entered in the Register of Transfers within thirty days from respective date of lodgment of such deed excepting those rejected on technical grounds.
- B) Share Certificate(s) in respect of valid requests for sub-division, consolidation, renewal, exchange, duplicate or endorsement of calls/ allotment monies wherever applicable within thirty days of lodgment. – *No such requests were received during the above half year.*

The Certificate is being issued under the conditions of work from home due to COVID-19 with limited resources available to us.

Place : Kolkata
Date : 12.10.2020

Signature : 



Name : S.M.GUPTA
Membership No : FCS-896
C. P. Number : 2053
UDIN: **F000896B000921354**