



STERLING

GUARANTY & FINANCE LIMITED

CIN No. : L65990MH1983PLC031384

Date: 26th April, 2024

To,
The Deputy General Manager,
Listing Compliance Monitoring Cell,
24th Floor, P.J. Towers,
Dalal Street,
Mumbai – 400 001.

Scrip Code: 508963

ISIN: INE668Y01016

Sub: Certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir/Madam,

Pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Certificate dated 26th April 2024, obtained from Mr. Hemang Satra, Proprietor, Hemang Satra & Associates, Company Secretaries for the financial year ended 31st March, 2024.

This is for your information and records.

Thanking you,

Yours Faithfully,

For, **Sterling Guaranty & Finance Limited.**

Naliny Deepak Kharwad
(DIN 02001739)
Managing Director

Enclosure: as above

**REGD. OFFICE: B-702, 7th Floor, Neelkanth Business Park, Kirol Village, Near Bus Depot,
Vidyavihar (W), Mumbai – 400086**

TEL: 91-22-22840019. Email: sterling.guaranty@gmail.com

Website: www.sterling-guaranty.com

CERTIFICATE UNDER REGULATION 40(9) & 40(10) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2024

I have examined Shares Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Sterling Guaranty & Finance Limited** having its registered office at B-702, 7th Floor, Neelkanth Business Park, Kiroli Village, Near Bus Depot, Vidyavihar (W), Mumbai - 400086 maintained by **Purva Sharegistry (India) Private Limited**, its Registrar and Transfer Agent, pertaining to transfer of equity shares of the Company for the period from 1st April, 2023 to 31st March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) & 40(10) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by **Sterling Guaranty & Finance Limited** with Stock Exchanges and based on the information provided by the Company, I hereby certify that:

- A) There was no request received and processed for share transfer except for one case which was rejected on technical grounds.
- B) Letter of Confirmation in respect of request received for transmission, transposition, name deletion, duplicate and change of name have been issued within the prescribed time from the respective date of lodgement for each case except for one case of name deletion which was confirmed beyond the prescribed time due to 15 days' notice sent to the shareholder's old address as per the SEBI guidelines and except those rejected on technical grounds. Further, there were no requests relating to subdivision, replacement, consolidation, renewal, exchange or endorsement of calls/ allotment monies during the year under review.

**For Hemang Satra & Associates,
Company Secretaries**

Place: Mumbai
Date: 26th April, 2024
UDIN: A054476F000249792
Peer Review No: 1867/2022

Hemang Satra
Proprietor
M. No.: A54476
C. P. No.: 24235