

GARWARE MARINE INDUSTRIES LIMITED

Regd. Office : 102 Buildarch Wisteria, 1st Floor, Ram Maruti Road, Dadar (W), Mumbai - 400 028. Tel.: 91-22-24364024
Corp. Office : 101, Swapnabhoomi, 'A' Wing, S. K. Bole Road, Dadar (W), Mumbai - 400 028. Tel.: 91-22-24234000 Fax: +91-22-24362764
CIN NO: L12235MH1975PLCO18481

Ref : GMIL/2020/149

October 23, 2020

To,
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street
MUMBAI - 400 001

Dear Sirs,

Sub : Regulation 40(9) of SEBI (LODR) Regulations, 2015.

Pursuant to Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Compliance Certificate issued by the Practicing Company Secretary, Mr. Taher Sapatwala, for the period from 1st April, 2020 to 30th September, 2020.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully,
for **GARWARE MARINE INDUSTRIES LIMITED**

PALLAVI P. SHEDGE
COMPANY SECRETARY



Encl: As above.



Email: secretarial@garwaremarine.com / garware.marine@gmail.com / investorredressal@garwaremarine.com / gmlmum@gmail.com
Website: www.garwaremarine.com

PLEASE ADDRESS ALL COMMUNICATION TO THE COMPANY AND NOT TO INDIVIDUALS



Taher Sapatwala & Associates
Company Secretaries

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.**

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2020.

I have examined Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to M/s. GARWARE MARINE INDUSTRIES LIMITED [CIN: L12235MH1975PLC018481] maintained by M/s. BIGSHARE SERVICES PVT. LTD. Registrar and Share Transfer Agents pertaining to transfer of Equity Shares of the Company for the period from 1st April, 2020 to 30th September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that:

A]

- 1.a) The Company has not received during half year ended on 30th September, 2020 any Share Certificates relating to the transfer of Shares for the period from 1st April, 2020 to 30th September, 2020.
- 1.b) The Company has not received during half year ended on 30th September, 2020 any Share Certificates in respect of request relating to sub-division (split), renewal and consolidation for the period from 1st April, 2020 to 30th September, 2020.
- 1.c) As per the records made available to me there were no requests relating to exchange or endorsement of calls / allotment monies received during the said period.

B] Any other information, if applicable: - None



Taher Sapatwala
Taher Sapatwala & Associates
Company Secretaries
FCS: 8029
C.P. No. 16149
UDIN: F008029B001021074

Place : Mumbai
Date : 21st October, 2020