



OASIS SECURITIES LTD.

Regd. Off.: Raja Bahadur Compound, Building No. 5, 2nd Floor, 43 Tamarind Lane, Fort, Mumbai 400 001.
☎ : 4046 3500 / 01 • Fax : 4046 3502 / 34 • E-mail : admin@oasiscaps.com
CIN No.: L51900MH1986PLC041499 • Website : www.oasiscaps.com

Date: 11.04.2022

The General Manager
BSE LIMITED
P. J. Towers, Dalal Street
Mumbai – 400 001

Scrip code: 512489

Sub: Certificate under Clause 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulations 2015 for the year ended 31.03.2022

Sir / Madam,

Pursuant to the Clause 40 (9) of the SEBI (Listing Obligations and Disclosure requirements) Regulations 2015, enclosed is copy of the Certificate from the practicing Company Secretary for the year ended 31.03.2022

Thank you.

Yours truly,
For Oasis Securities Limited

Anil Kumar

Bagri

Anil Kumar Bagri
Managing Director

Digitally signed by Anil Kumar Bagri
DN: cn=Anil Kumar Bagri
2.5.4.20=8950a8b78b4e81622e70960821849ae7396a3c2c0c98
0040484225380a017001 postalCode=900011, streetName=,
serialNumber=33318200bc3260745966115bc22372767bc94a
19152f8f1d4811030886483a, cn=Anil Kumar Bagri
Date: 2022.04.11 17:15:40 +05'30'



CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31ST MARCH, 2022.

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Sub-division / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to Oasis Securities Limited ('the Company') maintained and provided electronically by Satellite Corporate Services Pvt. Ltd., Registrar and Share Transfer Agents (RTA), pertaining to the financial year ended 31st March, 2022 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

A) As per the records made available to us there were no requests relating to transfers, transmission of securities, deletion of name, transposition of securities, sub-division (split), consolidation, renewal, exchange or endorsement of calls/allotment monies received during the financial year ended 31st March, 2022.

B) Any other information, if applicable: -

Place: Mumbai
Date: April 11, 2022

Signature:

For Parikh Parekh & Associates
Company Secretaries

MOHAMMAD
FAKRUDDIN
PILLIKANDLU

Digitally signed by MOHAMMAD FAKRUDDIN PILLIKANDLU
DN: c=IN, ou=Personal, postalCode=400058, st=Maharashtra, 2.5.4.20=199e88f5e68b50c0717769cb8ccbfaa254f7923b514a334d4d5a9e7b1968072, pseudonym=EABE37D0F501F5D405FCF36442F9D8326FC1D46A, serialNumber=572EBCED431E121C4DCBAC618193B6412E2B2E04485121C598EDA466A57C0B8, cn=MOHAMMAD FAKRUDDIN PILLIKANDLU
Date: 2022.04.11 14:23:36 +05'30'

Mohammad Pillikandlu
FCS No: 10619 CP No: 14603
UDIN: F010619D000067491
PR No.: 723/2020