

IL&FS Investment Managers Limited

The IL&FS Financial Centre Plot C-22, G-Block Bandra Kurla Complex Bandra East Mumbai 400 051 India T +91 22 2653 3333 F +91 22 2653 3056 W www.iimlindia.com

Corporate Identification No - L65999MH1986PLC147981

October 19, 2020

The Manager
The Listing Department
National Stock Exchange Limited
Exchange Plaza, 5th Floor
Plot No. C/1, G Block
Bandra-Kurla Complex, Bandra (E) **Mumbai 400 051**

NSE Symbol: IVC

BSE Security Code: 511208 ISIN: INE050B01023 The Manager
The Listing Department
Bombay Stock Exchange Limited
Phiroze Jeejeebhoy Towers
Dalal Street
Mumbai 400 023

Re : <u>Certificate under Regulation 40(9)</u>

Dear Sir:

Please find enclosed herewith the Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended September 30, 2020

Kindly acknowledge receipt of the same

Thanking you,

Yours sincerely,

Sanjay Mitra Company Secretary

Encl: as above

Rozy Empire, Silvassa Road, Opp.: PWD Rest House, Vapi - 396 191, Tele Fax: (0260) 2431655 9324545141, Email: jppvapi13@yahoo.com 202, Prem Kutir CHS Ltd.
Prem Nagar, Near MCF Udyan,
Borivali (W)Mumbai 400091
Tel: (022) 2894 0483, 3067 5215

Date - 16/10/2020

To,
The Board of Directors
IL & FS Investment Managers Limited,
Plot No.C-22, G Block,
Bandra Kurla Complex,
Bandra (E),Mumbai 400 051

Dear Sirs,

Sub: - Certificate under Regulation 40(9) Of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

We have examined the data produced before us by E-mail (the relevant books, registers, forms, documents and papers could not be made available due to COVID-19 pandemic) relating to IL & FS Investment Managers Limited ("the Company") maintained by Link Intime India Private Limited (Registrar & Share Transfer Agent) pertaining to transfer of equity shares of the Company for the period from 1st April, 2020 to 30th September, 2020 for the purpose of issuing a Certificate as per Regulation 40 (9) Of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by, IL&FS Investment Managers Limited with National Stock Exchange of India Limited and BSE Limited and based on the information provided by the Company and the Registrar & Share Transfer Agent, we hereby certify that the Company has issued during the half year ended 30th September, 2020.

- A) The Company has **not received** any request(s) relating to the transfer of Shares during the period from 1st April, 2020 to 30th September, 2020
- B) Share Certificates in respect of requests for issue of transmission certificates have been issued within 21 days of lodgment. (As per annexure "A")

FOR JAGDISH PATEL & CO.

Unique Code No.: P1991GJ052300

any Sect

Company Secretaries,

Partner

CS Ronak Kalathiya

UDIN: A037007B000976651

Encl.: Annexure - 'A'



IL & FS Investment Managers Limited

ANNEXURE - `A'

DETAILS FOR THE PERIOD 1st April, 2020 to 30th September, 2020

TOTAL NO. TRANSMISSION REQUEST RECEIVED.	TOTAL NO. OF TRANSMISSION CERTIFICATES DISPATCHED.	
	WITHIN 21 DAYS FROM DATE OF RECEIPT.	AFTER 21 DAYS FROM DATE OF RECEIPT
04	02	02

FOR JAGDISH PATEL & CO.

Unique Code No.: P1991GJ052300

ACS 37007 CP 18350

pany Sect

Company Secretaries,

Partner

CS Ronak Kalathiya

UDIN: A037007B000976651

Date: 16/10/2020