

**The Board of Directors**

Hindustan Zinc Limited  
Yashad Bhawan, Yashadgarh,  
Udaipur-313004,  
Rajasthan

**CERTIFICATE**

The Securities and Exchange Board of India ("SEBI") vide gazette notification dated 8<sup>th</sup> June, 2018 and vide its press release dated 3<sup>rd</sup> December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01<sup>st</sup> April, 2019. SEBI vide its press release dated 27<sup>th</sup> March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01<sup>st</sup> April, 2019. Further, SEBI vide its circular dated 07<sup>th</sup> September, 2020 has fixed 31<sup>st</sup> March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02<sup>nd</sup> December, 2020.

Accordingly, we have examined the relevant books and records, files and other documents of Hindustan Zinc Limited (the "Company") having its Registered office at Yashad Bhawan, Yashadgarh, Udaipur-313004, Rajasthan, maintained and produced electronically by their Registrar and Share transfer Agent i.e. KFIN Technologies Private Limited (Formerly Known as Karvy Fintech Private Limited), pertaining to transfer of shares of the Company for the period started from October 1, 2020 to March 31, 2021 ("Period") for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities Exchange Board of India ("SEBI") (Listing Obligations and Disclosure Requirements) Regulations, 2015 to the Company and based on the information provided, we hereby certify that:

- a. The Company has not transferred any physical shares during the said period;
- b. The Company has not received any requests for transmission/ transposition, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies, as the case may be, during the Period.

Chandrasekaran Associates  
Company Secretaries

Shashikant Tiwari  
Partner

Place: Delhi  
Date: April 12, 2021

ACS No. 28994  
CP No. 13050  
UDIN:A028994C000056769

Note: Due to COVID-19 pandemic and for maintaining the social distancing norms, we have not been able to carry out physical visit to Registrar and Share Transfer Agent ("RTA") office for physical verification of data. This report is based on inputs received via email from the RTA and/or verbal confirmation from the RTA.