

April 13, 2021

To, <b>BSE Limited,</b> Phiroze Jeejeebhoy Towers, 25 <sup>th</sup> Floor, Dalal Street, Mumbai – 400 001.  <b>Scrip Code: 512165</b>	To, <b>Metropolitan Stock Exchange of India,</b> Vibgyor Towers, 4th Floor, Plot No. C 62, G Block, Opp. Trident Hotel, Bandra Kurla Complex, Bandra (E), Mumbai – 400 098  <b>Scrip Name: ABANS</b>
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**Subject: Submission of Compliance Certificate as per Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended 31<sup>st</sup> March, 2021**

Dear Sir/Ma'am,

In compliance with Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith the Compliance Certificate issued by a Practicing Company Secretary for the half year ended 31<sup>st</sup> March, 2021.

Kindly take the above on your record.

Thanking You,

**For Abans Enterprises Limited**

**Deepesh Jain**  
**Company Secretary**

**Encl: As above**

Abans Enterprises Limited

Regd. Office: 36, 37, 38A, 3<sup>rd</sup> Floor, 227 Nariman Bhavan, Backbay Reclamation, Nariman Point, Mumbai-400021

+91 22 68354100 022 61790010 compliance@abansenterprises.com www.abansenterprises.com

CIN: L74120MH1985PLC035243

## **S. P. Date and Associates**

### **Company Secretaries**

1/501, Pranay Nagar, Ram Mandir Road (Extn.), Near Vazira Naka, Borivali (West), Mumbai-400092.

E-mail: [shridate24@gmail.com](mailto:shridate24@gmail.com);

Tel. 022-28980676; Mobile: 091-98209 37505

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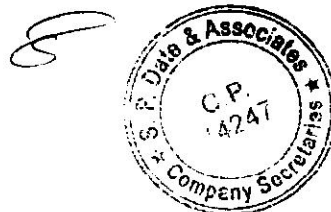
**CERTIFICATE UNDER REGULATION 40 (9) OF  
SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH, 2021**

We have examined the Share Transfer Forms, Memorandum of Transfers, registers, files and other documents relating to **Abans Enterprises Limited** bearing **CIN: L74120MH1985PLC035243 (ISIN: INE365001010)** and having its registered office at 36/37/38A, 3<sup>rd</sup> Floor, 227, Nariman Bhavan Backbay Reclamation, Nariman Point, Mumbai - 400021 (hereinafter referred to as the Company), maintained by Purva Sharegistry (India) Private Limited, the Company's Registrar & Transfer Agents (RTA), pertaining to transfer and other requests received by the Company pertaining to certificate/s of equity shares, for the period from **1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021** (review period) for the purpose of issuing a Certificate under Clause 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date and the Listing Agreement entered into by the Company with **BSE Limited** and **Metropolitan Stock Exchange of India Limited**.

Based on the aforesaid examination and information provided by the officers of the Company and / its RTA, we hereby certify that;

- A) The Company has not received any Share Transfer requests / forms during the period from 1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021 in respect of which Share Certificates were required to be issued within 30 days from the respective date of lodgment of each request / form excepting those cases which were rejected on technical grounds or transfers which need to be recorded after following procedure laid down in SEBI Circular No. SEBI/HO/MIRSD/DOS3/CIR/P/2018/139 dated November 06, 2018.**



## **S. P. Date and Associates**

### **Company Secretaries**

1/501, Pranay Nagar, Ram Mandir Road (Extn.), Near Vazira Naka, Borivali (West), Mumbai-400092.

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- B) The Company has not received any requests for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the period from 1<sup>st</sup> October 2020 to 31<sup>st</sup> March 2021.**

For **S. P. Date & Associates**  
**Company Secretaries**



**S. P. Date**  
**Proprietor**

**Membership No. A2018**  
**CP No. 14247**

**Mumbai**  
**12<sup>th</sup> April 2021**

**UDIN: A002018C000060441**