

30<sup>th</sup> June, 2021

<b>To,</b> <b>Department of Corporate Services</b> <b>BSE Ltd.</b> Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400 001.  <b>Ref.: Scrip Code No. : 540701</b>	<b>To,</b> <b>The Manager,</b> <b>Listing Department,</b> <b>National Stock Exchange of India Ltd.</b> “Exchange Plaza”, C-1, Block G, Bandra-Kurla Complex, Bandra (E), Mumbai – 400 051.  <b>Ref. : (i) Symbol – DCAL</b> <b>(ii) Series – EQ</b>
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**SUB: ANNUAL SECRETARIAL COMPLIANCE REPORT PURSUANT TO  
REGULATION 24A READ WITH SEBI CIRCULAR NO.  
CIR/CFD/CMD1/27/2019 DATED FEBRUARY 8, 2019**

Dear Sir,

Pursuant to Regulation 24A of SEBI (LODR) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019, please find enclosed the Annual Secretarial Compliance Report for the year ended March 31, 2021, issued by Mr. Ashok P. Pathak & Co., Practicing Company Secretaries.

Kindly take the same on your record.

Thanking You,

Yours faithfully,

**For, Dishman Carbogen Amcis Limited**

  
**Shrima Dave**  
**Company Secretary**



Encl.: As above

**Dishman Carbogen Amcis Limited**

**Regd. Off.:** DISHMAN CORPORATE HOUSE  
Iscon-Bopal Road, Ambli, Ahmedabad-380 058, Gujarat, India.  
Phone : +91 (0) 2717 420102 / 2717 420124

E-mail : [dcal@dishmangroup.com](mailto:dcal@dishmangroup.com)  
Website : [www.dishmangroup.com](http://www.dishmangroup.com)

Government Recognised Export House  
CIN No. : L74900GJ2007PLC051338



CS Ashok P. Pathak  
Company Secretary &  
Insolvency Professional

F- 904, Titanium City Centre, 100 ft. Anand Nagar Road,  
Near Indian Oil Petrol Pump, Satellite, Ahmedabad - 380015  
(O) 079-26934123 Mobile : 09327002122  
E-mail : csashokppathak@gmail.com  
Website : www.csashokppathak.com

**SECRETARIAL COMPLIANCE REPORT  
(For the year ended on 31<sup>st</sup> March, 2021)**

[Under Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dtd. 08/02/2019]

**Dishman Carbogen Amcis Limited**

CIN : L74900GJ2007PLC051338  
ISIN: INE385W01011

BSE : Script Code 540701  
NSE : Symbol : DCAL, Series : EQ

I CS Ashok P. Pathak, Proprietor of M/s. Ashok P. Pathak & Co., Company Secretaries have examined:

- (a) all the documents and records made available to us and explanation provided by **Dishman Carbogen Amcis Limited** ("the listed entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

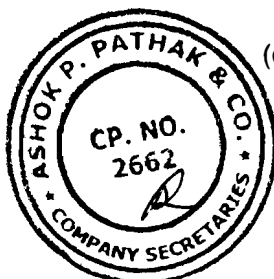
for the year ended on 31<sup>st</sup> March, 2021 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, Circulars, Guidelines issued there under; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), Rules made there under and the Regulations, Circulars, Guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;  
Not Applicable as there was no reportable event during the review period.
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018;

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CS Ashok P. Pathak  
Company Secretary &  
Insolvency Professional

F-904, Titanium City Centre, 100 Ft Anand Nagar Road,  
Near Indian Oil Petrol Pump, Satellite, Ahmedabad - 380015  
(O) 079-26934123 (M) +91 93270 02122  
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// 2 //

(e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;  
Not Applicable as there was no reportable event during the review period.

(f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;  
Not Applicable as there was no reportable event during the review period.

(g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013;  
Not Applicable as there was no reportable event during the review period.

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;  
and Circulars/ Guidelines issued there under;

and based on the above examination, I hereby report that, during the Review Period:

(a) The listed entity has complied with the provisions of the above Regulations and Circulars/ Guidelines issued there under except in the matter(s) specified below:

Sr. No.	Compliance Requirement (Regulations / circulars/ guidelines including specific clause)	Deviations	Observation/ Remarks of Practicing Secretary Company
1		NOT APPLICABLE	

(b) The listed entity has maintained proper records under the provisions of the above Regulations and Circulars/ Guidelines issued there under in so far as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various Circulars) under the aforesaid Acts/ Regulations and Circulars/ Guidelines issued there under.

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# ASHOK P. PATHAK & Co.

Company Secretaries  
(Peer Reviewed Firm)

CS Ashok P. Pathak  
Company Secretary &  
Insolvency Professional

F- 904, Titanium City Centre, 100 ft. Anand Nagar Road,  
Near Indian Oil Petrol Pump, Satellite, Ahmedabad - 380015  
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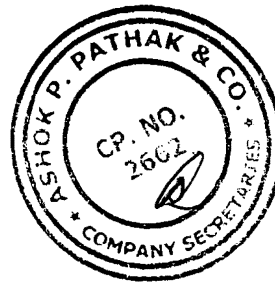
Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any
NOT APPLICABLE				

(d) The listed entity has taken the following actions to comply with the observations made in the previous reports :

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
NOT APPLICABLE				

For, Ashok P. Pathak & Co.,  
Company Secretaries,  
ICSI Unique Code : S1997GJ020700

CS Ashok P. Pathak\*  
Proprietor  
ACS No : 9939 | COP No : 2662  
Peer Review Certificate No. : 492/2016  
ICSI UDIN : A009939C000540711



29<sup>th</sup> June, 2021  
Ahmedabad

\* Insolvency Professional (IP) registered with Insolvency and Bankruptcy Board of India (IBBI) (IP Registration No. IBBI/IPA-002/IP-N00329/2017- 18/10934).