

National Stock Exchange of India Limited Exchange Plaza C-1, Block 'G'Bandra Kurla Complex, Bandra (E), Mumbai – 400051. Scrip Code: MARKSANS BSE Limited Phiroze Jeejeebhoy Towers Dalal Street, Fort, Mumbai – 400 001. Scrip Code: 524404

27th August, 2020

Sub: Reporting of violations related to Code of Conduct under SEBI (PIT) Regulations, 2015

Dear Sirs,

Pursuant to SEBI Circular No. SEBI/HO/ISD/CIR/P/2020/135 dated July 23, 2020, enclosed herewith kindly find reporting of violations related to Code of Conduct under SEBI (PIT) Regulations, 2015 by one Designated Person of the Company in the prescribed formats.

Thanking you.

Yours faithfully, For Marksans Pharma Limited

Harshavardhan Panigrahi Company Secretary & Compliance Officer

Enclosed as above

Marksans Pharma Ltd.

11th Floor, "GRANDEUR", Opp. Gundecha Symphony, Veera Desai Extension Road, Oshiwara, Andheri (W), Mumbai - 400 053 • Tel.: +91 22 4001 2000 • E-mail: info@marksanspharma.com www.marksanspharma.com

Annexwer-1a

Report by Marksans Pharma limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr. No	Particulars		Details			
	Name of the lis	ame of the listed company		Marksans Pharma Limited		
2.	Please tick appropriate checkbox					
	Reporting in capacity of :			☑ Listed Company		
				□ Intermediary		
				□ Fiduciary		
3.	A. Details of Designated Person (DP)					
	i. Name of the DP			Arvind Raghuvir Singh		
	ii. PAN of the DP			AMRPS8860M		
	iii. Designation of DP			Assistant General Manager - Exports		
	iv. Functional I			Export and Logistics		
	v. Whether DP is Promoter or belongs to Promoter			No		
	Group			140		
	B. If Reporting is for immediate relative of DP					
	i. Name of the immediate relative of DP			-		
	ii. PAN of the immediate relative of DP			-		
	C. Details of transaction(s)					
		ansaction(s)				
	C. Details of th	1/		Marksans Pharma I td		
	C. Details of the i. Name of the ii. No of shares (Date- wise)	scrip traded and value (Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares	scrip traded and value (No. of Shares	Value of Shares	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date- wise) Date	scrip traded and value (No. of Shares traded	Value of Shares traded (Rs.)	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date- wise) Date 18/11/2016	 scrip traded and value (No. of Shares traded 209 buy 	Value of Shares traded (Rs.) 11313.17	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date- wise) Date 18/11/2016 14/04/2017	No. of Shares traded 209 buy 1900 sell	Value of Shares traded (Rs.) 11313.17 108528.00	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date- wise) Date 18/11/2016 14/04/2017 20/10/2017	 scrip traded and value (No. of Shares traded 209 buy 1900 sell 17480 buy 	Value of Shares traded (Rs.) 11313.17 108528.00 905289.20	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date- wise) Date 18/11/2016 14/04/2017 20/10/2017 03/11/2017	 scrip traded and value (No. of Shares traded 209 buy 1900 sell 17480 buy 580 sell 	Value of Shares traded (Rs.) 11313.17 108528.00 905289.20 31569.4	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date- wise) Date 18/11/2016 14/04/2017 20/10/2017	 scrip traded and value (No. of Shares traded 209 buy 1900 sell 17480 buy 	Value of Shares traded (Rs.) 11313.17 108528.00 905289.20 31569.4 93435.58	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date- wise) Date 18/11/2016 14/04/2017 20/10/2017 03/11/2017 12/01/2018	 scrip traded and value (No. of Shares traded 209 buy 1900 sell 17480 buy 580 sell 1754 sell 1605 sell 	Value of Shares traded (Rs.) 11313.17 108528.00 905289.20 31569.4 93435.58 82063.65	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date- wise) Date 18/11/2016 14/04/2017 20/10/2017 03/11/2017 12/01/2018 09/02/2018	 scrip traded and value (No. of Shares traded 209 buy 1900 sell 17480 buy 580 sell 1754 sell 	Value of Shares traded (Rs.) 11313.17 108528.00 905289.20 31569.4 93435.58 82063.65 48878.14	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date- wise) Date 18/11/2016 14/04/2017 20/10/2017 03/11/2017 12/01/2018 09/02/2018 06/04/2018	 scrip traded and value (No. of Shares traded 209 buy 1900 sell 17480 buy 580 sell 1754 sell 1605 sell 898 buy 959 sell 	Value of Shares traded (Rs.) 11313.17 108528.00 905289.20 31569.4 93435.58 82063.65 48878.14 28338.45	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date-wise) Date 18/11/2016 14/04/2017 20/10/2017 03/11/2017 12/01/2018 09/02/2018 06/04/2018 13/04/2018	 scrip traded and value (No. of Shares traded 209 buy 1900 sell 17480 buy 580 sell 1754 sell 1605 sell 898 buy 959 sell 1512 buy 	Value of Shares traded (Rs.) 11313.17 108528.00 905289.20 31569.4 93435.58 82063.65 48878.14 28338.45 42109.20	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date- wise) Date 18/11/2016 14/04/2017 20/10/2017 03/11/2017 12/01/2018 09/02/2018 06/04/2018 13/04/2018	 scrip traded and value (No. of Shares traded 209 buy 1900 sell 17480 buy 580 sell 1754 sell 1605 sell 898 buy 959 sell 	Value of Shares traded (Rs.) 11313.17 108528.00 905289.20 31569.4 93435.58 82063.65 48878.14 28338.45	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date- wise) Date 18/11/2016 14/04/2017 20/10/2017 03/11/2017 12/01/2018 09/02/2018 06/04/2018 13/04/2018 29/06/2018	 scrip traded and value (No. of Shares traded 209 buy 1900 sell 17480 buy 580 sell 1754 sell 1605 sell 898 buy 959 sell 1512 buy 424 buy 	Value of Shares traded (Rs.) 11313.17 108528.00 905289.20 31569.4 93435.58 82063.65 48878.14 28338.45 42109.20 11532.80	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date-wise) Date 18/11/2016 14/04/2017 20/10/2017 03/11/2017 12/01/2018 09/02/2018 06/04/2018 13/04/2018 29/06/2018 21/09/2018 08/03/2019	 scrip traded and value (No. of Shares traded 209 buy 1900 sell 17480 buy 580 sell 1754 sell 1605 sell 898 buy 959 sell 1512 buy 424 buy 470 buy 	Value of Shares traded (Rs.) 11313.17 108528.00 905289.20 31569.4 93435.58 82063.65 48878.14 28338.45 42109.20 11532.80 10857.00	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date- wise) Date 18/11/2016 14/04/2017 20/10/2017 03/11/2017 12/01/2018 09/02/2018 06/04/2018 13/04/2018 29/06/2018 21/09/2018 08/03/2019 06/12/2019	 scrip traded and value (No. of Shares traded 209 buy 1900 sell 17480 buy 580 sell 1754 sell 1605 sell 898 buy 959 sell 1512 buy 424 buy 470 buy 61 sell 	Value of Shares traded (Rs.) 11313.17 108528.00 905289.20 31569.4 93435.58 82063.65 48878.14 28338.45 42109.20 11532.80 10857.00 1180.35	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date- wise) Date 18/11/2016 14/04/2017 20/10/2017 03/11/2017 12/01/2018 09/02/2018 06/04/2018 13/04/2018 29/06/2018 21/09/2018 08/03/2019 06/12/2019 13/12/2019	 scrip traded and value (No. of Shares traded 209 buy 1900 sell 17480 buy 580 sell 1754 sell 1605 sell 898 buy 959 sell 1512 buy 424 buy 470 buy 61 sell 21 sell 	Value of Shares traded (Rs.) 11313.17 108528.00 905289.20 31569.4 93435.58 82063.65 48878.14 28338.45 42109.20 11532.80 10857.00 1180.35 388.50	Marksans Pharma Ltd.		
	C. Details of the i. Name of the ii. No of shares (Date-wise) Date 18/11/2016 14/04/2017 20/10/2017 03/11/2017 12/01/2018 09/02/2018 06/04/2018 29/06/2018 21/09/2018 08/03/2019 06/12/2019 13/12/2019 20/03/2020	 scrip traded and value (No. of Shares traded 209 buy 1900 sell 17480 buy 580 sell 1754 sell 1605 sell 898 buy 959 sell 1512 buy 424 buy 470 buy 61 sell 21 sell 1397 sell 	Value of Shares traded (Rs.) 11313.17 108528.00 905289.20 31569.4 93435.58 82063.65 48878.14 28338.45 42109.20 11532.80 10857.00 1180.35 388.50 32270.70	Marksans Pharma Ltd.		

	D. In case value of trade(s) is more that		A			
	i. Date of intimation of trade(s) by concerned		N.A.			
	DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015					
	ii Data of intimation of trade(a) by Com	N.A.				
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT)		N.A.			
	Regulations, 2015					
4.	Details of violations observed under Cod	de of	18 Contra Trades and 4 trades during			
-	Conduct		closure of trading window			
5.	Action taken by Listed company		Profit of Rs. 3,316.67 made by the DP from 18 contra trades and penalty of Rs. 1,000/- for each trades done during the closure of trading window for 4 trades aggregating to Rs. 7,316.67 recovered from the DP and deposited in SEBI – IPEF. Further, the DP has been barred from trading in any shares of the Company without prior intimation to the listed Company.			
6.	Reasons recorded in writing for taking action stated above		As above			
7.	Details of the previous instances of violations, if any, since last financial year		 The DP traded in shares of the Company on 02/02/2016, 08/02/2016, 11/02/2016 and 11/08/2016 during the closure of trading window. The DP entered into contra 			
		trades on 10 occasions during the period from 09/07/2015 to 27/09/2016. The profit amount made from these trades have bee deposited in SEBI – IPEF.				
8.	If any amount collected for Code of Conduct violation(s)					
	i. Mode of transfer to SEBI - IPEF (Onli Draft)	Online				
	ii. <u>Details of transfer/payment</u> In case of Online:					
	Particulars					
	Name of the transferor Marksans		Pharma Limited			
	Bank Name, branch and Account Name of H		Beneficiary: SEBI – IPEF ne: Bank of India			
		Account N	nch: Bandra Kurla Complex (BKC) Number: 012210210000008 le BKID0000122			
			0234316541			
	Transaction date 21/08/202					
	Transaction date	21/08/202	.0			

A.

	Particulars	Details	
	Bank Name and branch	-	
	DD Number	-	
	DD date DD amount (in Rs.)	-	
		-	
<u></u>	Any other relevant information		

Yours faithfully,

Harshavardhan Panigrahi Company Secretary & Compliance Officer PAN: AKTPP1644A Email ID.: harshavardhan@marksanspharma.com

Date: 27th August, 2020 Place: Mumbai