

ALOK INDUSTRIES LIMITED

Peninsula Business Park, Tower B, 2nd & 3rd Floor, Ganpatrao Kadam Marg, Lower Parel,
Mumbai - 400 013. Tel.: 91 22 6178 7000 Fax : 91 22 6178 7118



May 22, 2020

BSE Limited. Listing Department, P.J. Towers, Dalal Street, Mumbai - 400 001 Fax No.: 2272 2037 / 2272 2039 Scrip Code.521070	National Stock Exchange of India Ltd, Exchange Plaza, 5th Floor, Plot no. C/1, G Block, Bandra-Kurla Complex, Bandra (East), Mumbai-400 051 Fax No.: 2659 8237 / 2659 8238 Symbol. ALOKINDS
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Dear Sirs,

Subject: - Certificate under Regulation 40 (9) of the SEBI (Listing Obligations & Disclosure Requirements), 2015 for half ended 31st March, 2020.

Dear Sirs,

Pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby submit the Certificate obtained from Practicing Company Secretary for the half year ended 31st March, 2020.

Kindly take record of the same.

Thanking you,
For Alok Industries Limited


K H Gopal
Company Secretary



Virendra Bhatt

Company Secretary

Office:

**Office No. 03, A Wing, 9th Floor,
Pinnacle Corporate Park**

**BKC CST Link Rd., MMRDA Area,
Bandra Kurla Complex,**

Bandra East, Mumbai- 400 051

Tel.: 022 - 2652 9367 / 68

Mobile No.: +91 98200 48670

Email: bhattvirendra1945@yahoo.co.in

Name of the Company : ALOK INDUSTRIES LIMITED

CIN : L17110DN1986PLC000334

**Registered Office : 17/5/1, 521/1, Village: Rakholi / Saily, Silvassa, Dadra &
Nagar Haveli - 396230**

**CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS
AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR
ENDED 31ST MARCH, 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **ALOK INDUSTRIES LIMITED** maintained by **Link Intime India Private Limited** pertaining to transfer of equity shares of the Company for the period from **01st October, 2019 to 31st March, 2020** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, by **ALOK INDUSTRIES LIMITED** and based on the information provided, hereby certify that during half year ended **31st March, 2020**:

- A. No requests were received by the Registrar and Share Transfer Agents of the Company for Transfer/Transmission of shares for the period from **01st October, 2019 to 31st March, 2020**.
- B. No requests were received by the Registrar and Share Transfer Agents of the Company for sub-division, consolidation, replacement or issue of duplicate share certificates for the period from **01st October, 2019 to 31st March, 2020**.

Note:

- 1 The Monitoring Committee of the Company, pursuant to the Resolution Plan dated 12th April, 2018 approved by the National Company Law Tribunal, Ahmedabad Bench vide its orders dated 8th March, 2019 and 26th July, 2019, has on 28th February, 2020 issued and allotted 83,33,33,333 equity shares of the face value of Re. 1/- each for cash at a premium of Rs. 2/- per share; and 9%, 250,00,00,000 Optionally Convertible Preference Shares (OCPS) of the face value of Re. 1/- for cash to Reliance Industries Limited on a preferential basis.**

