

April 20, 2023

The Secretary
National Stock Exchange of India Limited
Capital Market – Listing, Exchange Plaza,
5th Floor, Plot No. C/1, G Block,
Bandra-Kurla Complex, Bandra (E),
Mumbai 400 051

The Secretary
BSE Ltd.
25th Floor, Phiroze Jeejeebhoy Towers
Dalal Street, Fort
Mumbai 400 001

NSE SCRIP CODE: CHOLAFIN EQ

BSE SCRIP CODE: 511243

Dear Sirs,

Sub: Filing of Compliance Certificate under Regulation 40 (9 & 10) of the Listing Regulation, 2015 for the period from 1st April, 2022 to 31st March, 2023.

We enclose a certificate from the Practicing Company Secretary under Regulation 40 (9 & 10) of the Listing Regulation, 2015 for the period from 1st April, 2022 to 31st March, 2023.

We request you to take the above on record and acknowledge receipt.

Thanking you,

Yours faithfully,
For Cholamandalam Investment and Finance Company Limited

P Sujatha
Company Secretary

Encl.: As above

CERTIFICATE IN TERMS OF REGULATION 40 (9 & 10) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

(For the period from 01st April, 2022 to 31st March 2023)

ISIN: INE121A01024	SECURITY CODE: 511243 CHOLAFIN
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We have examined all relevant books, registers, forms, files and other documents and papers relating to **Cholamandalam Investment and Finance Company Limited** (here in after called "**the Company**") having its Registered Office at Dare House No.2, N.S.C Bose Road, Chennai 600 001 maintained by its Registrar and Share Transfer Agent viz., **KFin Technologies Limited (Formerly known as KFin Technologies Private Limited)** having its office at Selenium, Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500 032, pertaining to the period from **01st April, 2022 to 31st March, 2023** for the purpose of issuing a Certificate under Regulations 39 and 40 (9, 10 & 11) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended till date, SEBI Circular No.SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 and the Uniform Listing Agreement entered into by the Company with the **BSE Limited** and based on our such examination as well as information and explanations furnished to us which to the best of our knowledge and belief were necessary for the purposes of our certification, we hereby certify that in our opinion and according to the best of our information and belief and as confirmed by the Company/RTA, the Company has in relation to the year ended **31st March 2023** has not received any request(s) for Transfer, Transposition, sub division and Consolidation, renewal, exchange or endorsement of calls/allotment monies.

During the aforementioned period, the Company has received requests for Transmission of shares, Deletion of name and Issue of Duplicate share certificates and the Letter of Confirmation in lieu of physical share certificate(s) has been delivered to the securities holder(s) /claimant within the stipulated time period from the date of lodgment as stated in the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Company has also complied with SEBI Circular No.SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022.

**For R. SRIDHARAN & ASSOCIATES
COMPANY SECRETARIES**



CS R.SRIDHARAN
C.P.No.3239
FCS No.4775
PR NO.657/2020
UIN: S2003TN063400
UDIN: F004775E000141076

PLACE : CHENNAI
DATE : 19TH APRIL, 2023