

REGENT ENTERPRISES LIMITED

Regd. Office:-E-205 (LGF), Greater Kailash II, New Delhi-110048

Telephone no. 011 41610287, CIN-L15500DL1994PLC153183

Email: legal@regententerprises.in, Website: www.regententerprises.in

May 13, 2024

To,
Corporate Relationship Deptt.,
BSE Limited,
1ST Floor New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Fort, **Mumbai-400 001.**

Scrip Code: 512624

SUB: SUBMISSION OF ANNUAL SECRETARIAL COMPLIANCE REPORT FOR THE FINANCIAL YEAR ENDED ON MARCH 31, 2024 IN TERMS OF SEBI CIRCULAR NO. CIR/CFD/CMD1/27/2019 DATED 08.02.2019.

Dear Sir,

In reference to the SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019, we are submitting herewith Annual Secretarial Compliance Report for the financial year ended on 31st March, 2024 duly received from Mr. Vijay Sharma proprietor of M/s. Sharma Vijay & Associates, a Practicing Company Secretary.

This is for your kind information and record, please.

Thanking You,

Yours faithfully,
For Regent Enterprises Limited

Mamta Sharma
Company Secretary
& Compliance Officer

Encl: a/a



**Annual Secretarial Compliance Report
of Regent Enterprises Limited
for the Financial year ended on March 31, 2024**

To,
The Members,
Regent Enterprises Limited

I, Vijay Sharma Prop. of M/s. Sharma Vijay & Associates have examined:

- (a) All the documents and records made available to me and explanation provided by Regent Enterprises Limited ("the listed entity"),
- (b) The filings/submissions made by the listed entity to the stock exchanges,
- (c) Website of the listed entity,
- (d) Any other document/filing, as may be relevant, which has been relied upon to make this certification for the year ended on **March 31, 2024** ("Review Period") in respect of compliance with the provisions of:
 - (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
 - (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018; **Not applicable during the review period**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not applicable during the review period**

- (e) Securities and Exchange Board of India (Share Based Employee Benefits and Sweat Equity) Regulations, 2021; **Not applicable during the review period**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **Not applicable during the review period**
- (g) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (h) Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- (i) Other regulations as applicable

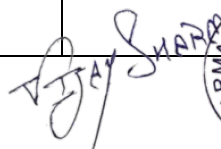

And circulars/ guidelines issued thereunder;

I hereby report that, during the Review Period the Compliance status of the Listed entity is appended as below:

Sr. No.	Particulars	Compliance status(Yes/No/NA)	Observations /Remarks by PCS
1.	<u>Secretarial Standards</u> The compliances of the listed entity are in accordance with the applicable Secretarial Standards (SS) issued by the Institute of Company Secretaries India (ICSI).	Yes	None
2.	<u>Adoption and timely updation of the Policies:</u> <ul style="list-style-type: none"> All applicable policies under SEBI Regulations are adopted with the approval of board of directors of the listed entities. All the policies are in conformity with SEBI Regulations and has been reviewed & timely updated as per the regulations/circulars/ guidelines issued by SEBI. 	Yes	None
3.	<u>Maintenance and disclosures on Website:</u> <ul style="list-style-type: none"> The Listed entity is maintaining a functional website. Timely dissemination of the documents/information under a separate section on the website. Web-links provided in annual corporate governance reports under Regulation 27(2) are accurate and specific which re- Directs to the relevant document(s)/section of the website 	Yes	None

Vijay Sharma


4.	<u>Disqualification of Director:</u> None of the Director of the Company are disqualified under Section 164 of Companies Act,2013	Yes	None
5.	<u>To examine details related to Subsidiaries of listed entities:</u> <ul style="list-style-type: none"> • Identification of material subsidiary companies • Requirements with respect to disclosure of material as well as other subsidiaries. 	N.A.	The company does not have any subsidiary during the year under review.
6.	<u>Preservation of Documents:</u> The listed entity is preserving and maintaining records as prescribed under SEBI Regulations and disposal of records as per Policy of Preservation of Documents and Archival policy prescribed under SEBI(LODR) Regulations, 2015	Yes	None
7.	<u>Performance Evaluation:</u> The listed entity has conducted performance evaluation of the Board, Independent Directors and the Committees at the start of every financial year as prescribed in SEBI Regulations.	Yes	None
8.	<u>Related Party Transactions:</u> <ul style="list-style-type: none"> • The listed entity has obtained prior approval of Audit Committee for all Related party transactions. • In case no prior approval obtained, the listed entity shall provide detailed reasons along with confirmation whether the transactions were subsequently approved/ratified/rejected by the Audit committee 	NA	The company does not have any significant material transaction which required the prior approval or ratification by Audit Committee of the company.
9.	<u>Disclosure of events or information:</u> <ul style="list-style-type: none"> • The listed entity has provided all the required disclosure(s) under Regulation 30 along with Schedule III of SEBI(LODR) Regulations,2015 within the time limits prescribed thereunder. 	Yes	None

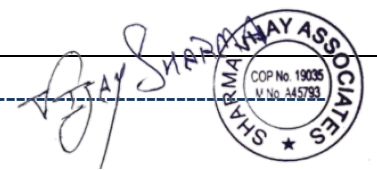
10.	<u>Prohibition of Insider Trading:</u> The listed entity is in compliance with Regulation 3(5) & 3(6) SEBI(Prohibition of Insider Trading) Regulations,2015.	Yes	None
11.	<u>Actions taken by SEBI or Stock Exchange(s), if any:</u> No Actions has been taken against the listed entity/its promoters/directors either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under SEBI Regulations and circulars/guidelines issued thereunder.	NA	None
12	Resignation of statutory auditors from the listed entity or its material subsidiaries:	NA	None
12.	Additional Non-compliances, if any: No any additional non-compliance observed for all SEBI regulation/circular/guidance note etc,	Yes	None

(a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued thereunder, except in respect of matters specified below:-

S. No.	Compliance Requirement(Regulations/ circulars /guidelines including specific clause)	Regulation/ Circular No.	Deviatio ns	Action taken by	Type of Action	Details of violation	Fine Amount	Observatio ns/Remarks of the Practicing Company Secretary	Managem ent Respon se	Remar ks
					(Advisory/Clarification/Fine/Show Cause Notice/Warning etc.)					
There is no such event during the year under review										

(b) The listed entity has taken the following actions to comply with the observations made in previous reports:

S. No.	Compliance Requirement (Regulations/ circulars /guidelines including specific clause)	Regulation/ Circular No.	Deviatio ns	Action taken by	Type of Action	Details of violation	Fine Amount (Inclusive of GST @ 18%)	Observations /Remarks of the Practicing Company Secretary	Managem ent Respon se	Remar ks*
					(Advisory/Clarification/Fine/Show Cause Notice/Warning etc.)					
There is no such event during the year under review										



*remarks as on 31.03.2024



Assumptions & limitation of scope and review:

1. Compliance of the applicable laws and ensuring the authenticity of documents and information furnished, are the responsibilities of the management of the listed entity.
2. Our responsibility is to report based upon our examination of relevant documents and information. This is neither an audit nor an expression of opinion.
3. We have not verified the correctness and appropriateness of financial records and books of account of the listed entity.
4. This report is solely for the intended purpose of compliance in terms of Regulation 24A (2) of the SEBI (LODR) Regulations, 2015 and is neither an assurance as to the future viability of the listed entity nor of the efficacy or effectiveness with which the management has conducted the affairs of the listed entity.

Place : Gurugram

Date : 10/05/2024

For Sharma Vijay & Associates



CS Vijay Sharma
(Proprietor)

C.P. No. 19035, ACS:45793

UDIN: A045793F000347083

Peer Review No. 2166/2022