



Nahar

CAPITAL AND FINANCIAL SERVICES LTD.



(A NON-BANKING FINANCIAL COMPANY)

Regd. Office & Corporate Office : "Nahar Tower", 375 Industrial Area-A, Ludhiana - 141 003 (INDIA)

Phones : 91-161-2600701 to 705, 2606977 to 980 Fax : 91-161-2222942, 2601956

E-mail : secncfs@owmnahar.com Web Site : www.owmnahar.com CIN No. : L45202PB2006PLC029968

NCFSL/SD/2020/

October 15, 2020

Corporate Listing Department The National Stock Exchange of India Limited Exchange Plaza, 5th Floor, Plot No. C/1, G-Block Bandra-Kurla Complex, Bandra (E) MUMBAI – 400 051	Corporate Relations Department The BSE Limited 25th Floor, P.J. Tower, Dalal Street, Mumbai MUMBAI – 400 001
SYMBOL: NAHARCAP	SCRIP CODE: 532952

SUB: Certificate u/r 40(9) of SEBI (LODR) Regulations, 2015 for the half year ended 30.09.2020

Dear Sir,

Pursuant to the Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are sending herewith certificate issued by M/s. P.S. Bathla & Associates, Practicing Company Secretaries, Ludhiana, for the half year ended 30th September, 2020.

Kindly take the same on your records.

Thanking you,

Yours faithfully,

For NAHAR CAPITAL AND FINANCIAL SERVICES LTD.

(ANJALI MODGIL)
COMPANY SECRETARY

Encl: As above

P. S. BATHLA & ASSOCIATES
Company Secretaries
S.C.O. -6, 3rd Floor
Feroze Gandhi Market, Ludhiana-141001
Ph. 0161-4416791

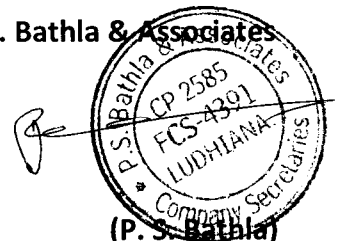
**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD OF
INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**
FOR THE HALF YEAR ENDED SEPTEMBER, 2020

I have examined all relevant Registers, Records, files and other documents relating to **M/s. Nahar Capital and Financial Services Limited, Ludhiana** maintained by M/s. Alankit Assignments Limited, New Delhi, Registrar and Share Transfer Agent, pertaining to transfer of equity shares of the Company for the period 01.04.2020 to 30.09.2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and its Registrar and Share Transfer Agent, I hereby certify that:

- a) The Company has not received any request for transfer of shares during the half year ended 30th September, 2020 and hence delivery of Share Certificates within one month relating to the Share Transfer during the said half year was not required.
- b) Share Certificate(s) relating to the transmission of Shares received during the period from 1st April, 2020 to 30th September, 2020 as entered in the Memorandum of Transfers have been issued within thirty days of the date of lodgment for transmission.*
- c) No request was received for issue of duplicate Share Certificate, sub-division, consolidation, renewal, exchange or endorsement of calls/ allotment monies during the said aforesaid period.

Date: 15.10.2020
Place: LUDHIANA
UDIN: F004391B000956848

For P. S. Bathla & Associates



(P. S. Bathla)
CP No.2585
Proprietor