

USFB/CS/SE/2022-23/55

**Date:** October 12, 2022

**To,**

**National Stock Exchange of India Limited**  
Listing Department  
Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex, Bandra (E)  
Mumbai – 400 051

**BSE Limited**  
Listing Compliance  
P.J. Tower,  
Dalal Street, Fort,  
Mumbai – 400 001

**Symbol:** UJJIVANSFB

**Scrip Code:** 542904

Dear Sir/Madam,

**Sub: Certificate under Regulation 74(5) of the SEBI (Depositories and Participants) Regulations, 2018 for the quarter ended September 30, 2022.**

Pursuant to captioned Regulation, we hereby enclose Certificates dated October 04, 2022 and October 11, 2022 received from M/s. KFin Technologies Limited (*Formerly known as KFin Technologies Private Limited*), our Registrar and Transfer Agent, for the quarter ended September 30, 2022.

We request you to take note of the above.

Thanking You,

Yours faithfully,  
**For UJJIVAN SMALL FINANCE BANK LIMITED**

**Sanjeev Barnwal**  
**Company Secretary & Head of Regulatory Framework**

Encl: As mentioned above

KFIN/MRVS/NSDL/74(5) E/1  
11/010/2022

NATIONAL SECURITIES DEPOSITORY LIMITED  
TRADE WORLD 4TH FLOOR  
KAMALA MILLS COMPOUND  
SENAPATI BAPAT MARG  
LOWER PAREL  
MUMBAI - 400 013

Dear Sir(s),  
Sub: Certificate under Regulation 74(5) for the quarter ended  
September 30, 2022

We as Registrars, Share Transfer and Depository Services agents of the UJJIVAN SMALL FINANCE BANK LTD, certify No Requests were received towards dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

Thanking You

Yours faithfully

For KFin Technologies Limited



Mohd Mohsin Uddin  
Senior Manager

CC TO:

THE COMPANY SECRETARY  
UJJIVAN SMALL FINANCE BANK LIMITED  
GRAPE GARGEN  
NO.27, 3RD A CROSS  
18TH MAIN, 6TH KORAMANGALA  
BANGALORE  
560095

KFIN /MRVS/CDSL/74(5) E/1  
04/10/2022

CENTRAL DEPOSITORY SERVICES (INDIA) LTD  
REGD OFF: MARATHON FUTUREX, A WING, 25TH FLOOR  
NM JOSHI MARG, LOWER PAREL (EAST)  
MUMBAI - 400 023

Dear Sir(s),  
Sub: Certificate under Regulation 74(5) for the quarter ended  
September 30, 2022

We as Registrars, Share Transfer and Depository Services agents of the UJJIVAN SMALL FINANCE BANK LTD, certify No Requests were received towards dematerialized/rematerialized during the aforesaid period, as required under Regulation 74(5) of SEBI (Depositories and participants) Regulations 2018 have been furnished to all the Stock Exchanges where the shares of the company are listed.

Thanking You

Yours faithfully

For KFin Technologies Limited



Mohd Mohsin Uddin  
Senior Manager

CC TO:

THE COMPANY SECRETARY  
UJJIVAN SMALL FINANCE BANK LIMITED  
GRAPE GARGEN  
NO.27, 3RD A CROSS  
18TH MAIN, 6TH KORAMANGALA  
BANGALORE  
560095