

▲ILSFS | Private Equity

IL&FS Investment Managers Limited

The IL&FS Financial Centre Plot C-22, G-Block Bandra Kurla Complex Bandra East Mumbai 400 051 India

T +91 22 2653 3333 F +91 22 2653 3056 W www.limlindia.com

Corporate Identification No - L65999MH1986PLC147981

May 18, 2020

The Manager The Listing Department National Stock Exchange Limited Exchange Plaza, 5th Floor Plot No. C/1, G Block Bandra-Kurla Complex, Bandra (E) Mumbai 400 051

NSE Symbol: IVC BSE Security Code: 511208 ISIN : INE050B01023

The Manager The Listing Department Bombay Stock Exchange Limited Phiroze Jeejeebhoy Towers Dalal Street Mumbai 400 023

Certificate under Regulation 40(9) Re :

Dear Sir :

Please find enclosed herewith the Certificate under Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, for the half year ended March 31, 2020

Kindly acknowledge receipt of the same

Thanking you,

Yours sincerely,

Sanjay Mitra Company Secretary

Encl: as above



JAGDISH PATEL & CO. COMPANYSECRETARIES

Rozy Empire, Silvassa Road, Opp.: PWD Rest House, Vapi - 396 191, Tele Fax: (0260) 2431655 9324545141, Email : jppvapi13@yahoo.com

Date - 18/05/2020

To,

The Board of Directors IL & FS Investment Managers Limited, Plot No.C-22, G Block, Bandra Kurla Complex, Bandra (E),Mumbai 400 051

Dear Sirs,

Sub: - Certificate under Regulation 40(9) Of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

IL&FS/Reg_40(9)/31.03.2020/02

202, Prem Kutir CHS Ltd. Prem Nagar, Near MCF Udyan, Borivali (W)Mumbai 400091 Tel: (022) 2894 0483, 3067 5215

We have examined the data produced before us by E-mail (the relevant books, registers, forms, documents and papers could not be made available due to COVID-19 pandemic) relating to IL & FS Investment Managers Limited ("the Company") maintained by Link Intime India Private Limited (Registrar & Share Transfer Agent) pertaining to transfer of equity shares of the Company for the period from 1st October, 2019 to 31st March, 2020 for the purpose of issuing a Certificate as per Regulation 40 (9) Of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by, IL&FS Investment Managers Limited with National Stock Exchange of India Limited and BSE Limited and based on the information provided by the Company and the Registrar & Share Transfer Agent, we hereby certify that the Company has issued during the half year ended 31st March, 2020.

- A) The Company has not received any request(s) relating to the transfer of Shares during the period from 1st October, 2019 to 31st March, 2020
- B) Share Certificates in respect of requests for issue of transmission certificates have been issued within 21 days of lodgment. (As per annexure "A")

FOR JAGDISH PATEL & CO. Unique Code No.: P1991GJ052300 Company Secretaries,

Partner Ronak Kalathiya UDIN: A037007B000249637 Encl.: Annexure - 'A'





JAGDISH PATEL & CO. COMPANYSECRETARIES

IL&FS/Reg_40(9)/31.03.2020/02

54.9F. †

IL & FS Investment Managers Limited

ANNEXURE - `A'

DETAILS FOR THE PERIOD 1st October, 2019 to 31st March, 2020

TOTAL NO. TRANSMISSION REQUEST RECEIVED.	TOTAL NO. OF TRANSMISSION CERTIFICATES DISPATCHED.							
		21 DAYS DATE OF		DATE		PENDING 31.03.2020	AS	ON
07	0.	5	00			02*		

*Pending due to lockdown owing to COVID-19 pandemic.

ACS 37007

FOR JAGDISH PATEL & CO.

Unique Code No.: P1991GJ052300

Company Secretaries,

Partner CS Ronak Kalathiya UDIN: A037007B000249637 Date : 18/05/2020