

29<sup>th</sup> April 2024

**BSE Limited**  
**Phiroze Jeejeebhoy Towers**  
**Dalal Street, Mumbai 400 001**

**National Stock Exchange of India Limited**  
**Exchange Plaza, 5<sup>th</sup> Floor, Plot No. C/1,**  
**G Block, Bandra - Kurla Complex,**  
**Bandra (E), Mumbai - 400 051**

Dear Sir/Madam,

**Sub: Certificates pursuant to Regulation 40 (9) and Regulation 61(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Please find attached herewith certificates dated 25<sup>th</sup> April 2024 and 29<sup>th</sup> April 2024 issued by Pankaj Nigam & Associates, Company Secretaries, pursuant to Regulation 40 (9) and Regulation 61(4) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, respectively, read with rules made thereof including amendments thereunder, for the financial year ended 31<sup>st</sup> March 2024.

We request you to kindly take the same on record.

Thanking you.  
Your Faithfully,

**For UGRO Capital Limited**

**Satish Kumar**  
**Company Secretary and Compliance Officer**

Encl: a/a

**UGRO CAPITAL LIMITED**

**Registered Office Address:** Equinox Business Park, Tower 3, 4th Floor, LBS Road, Kurla (West), Mumbai - 400070

**CIN:** L67120MH1993PLC070739

**Telephone:** +91 22 41821600 | **E-mail:** info@ugrocapital.com | **Website:** www.ugrocapital.com



## PANKAJ NIGAM & ASSOCIATES

(Company Secretaries)

F-54, Arora Shoppers Park, Opp. Swam Jayanti Park,  
Shakti Khand-II, Indrapuram, Ghaziabad

Phone No. : 0120-4157617

Email: pankajnigamcs@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF THE SECURITIES AND EXCHANGE BOARD  
OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS,  
2015 FOR THE YEAR ENDED 31<sup>ST</sup> MARCH, 2024**

**TO WHOMSOEVER IT MAY CONCERN**

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **UGRO Capital Limited** having its registered office at Equinox Business Park, Tower 3, Fourth Floor, Off BKC, LBS Road, Kurla, Mumbai, Maharashtra, 400070, maintained by **Link Intime India Private Limited**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company during the year ended **31<sup>st</sup> March, 2024**, for the purpose of issuing certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 to **UGRO Capital Limited** and based on information provided by the Company/RTA, we hereby certify that:

- a) The Company has received **one** request for the issue of duplicate share certificate (s) and the certificate has been issued within 30 days of the lodgment of request. Further there was no request received for transfer, sub-division, consolidation, renewal exchange, or endorsement of calls / allotment monies during the aforesaid period
- b) **Two** requests were received for transmission of shares and the same were duly transmitted and certificates have been issued within 30 days of the lodgment of requests. Further, **one** request was received for deletion in share certificate and the same was closed within 30 days of the lodgment of request.

**For Pankaj Nigam & Associates  
Company Secretaries**



**Pankaj Kumar Nigam  
Proprietor**

**M. No. FCS: 7343,**

**C. P. No. 7979**

**UDIN: F007343F000237353**

**Place: Ghaziabad  
Date: 25/04/2024**



## PANKAJ NIGAM & ASSOCIATES

(Company Secretaries)

F-54, Arora Shoppers Park, Opp. Swarn Jayanti Park,  
Shakti Khand-II, Indirapuram, Ghaziabad

Phone No. : 0120-4157617

Email: pankajnigamcs@gmail.com

**CERTIFICATE UNDER REGULATION 61(4) READ WITH REGULATION 40(9) OF THE  
SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015  
FOR THE YEAR ENDED ON 31<sup>ST</sup> MARCH, 2024**

**TO WHOMSOEVER IT MAY CONCERN**

Based on the information/details provided to us by **UGRO Capital Limited** ("the **Company**") having its registered office at Equinox Business Park, Tower 3, Fourth Floor, Off BKC, LBS Road, Kurla, Mumbai, Maharashtra, 400070, we hereby certify that since all the debentures issued by the Company are in Demat form, no physical debenture certificates were required to be delivered during the period 01<sup>st</sup> April, 2023 to 31<sup>st</sup> March, 2024 pursuant to Regulation 61(4) read with Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

**For Pankaj Nigam & Associates  
Practicing Company Secretaries**



*Pankaj Nigam*  
**Pankaj Kumar Nigam**  
Proprietor  
M. No. FCS: 7343,  
C. P. No. 7979

Place: Ghaziabad  
Date: 29/04/2024

UDIN: F007343F000261707