



Octavius[®]

OCTAVIUS PLANTATIONS LIMITED

Regd. Office: T-4, Okhla Industrial Area, Phase-II, New Delhi-20

CIN No. : L65910DL1984PLC018466

Ph.: -011-45542200, Fax: 011-45542200

Website: www.octaviusplantations.com

E-mail: csdelhi@octavius.in

Date: May 30, 2022

To,
Deputy Manager
Department of Corporate Services,
BSE limited,
Floor 25, P.J Towers, Dalal Street,
Fort, Mumbai- 400001

Scrip Code: 542938

Sub: Annual Secretarial Compliance Report for the Financial Year 2021-2022

Dear Sir,

With Reference to the Regulations 24A of the SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015, we enclose herewith Annual Secretarial Compliance Report for the financial year 2021-2022 issued by M/s. Pramod Agarwal & Co., Practicing Company Secretary.

You are requested to kindly take the same on your records.

For Octavius Plantations Limited

For Octavius Plantations Limited

Raj Kumar Jain
Director/Authorised Signatory

Raj Kumar Jain
Whole Time Director
DIN: 03505168

PRAMOD AGARWAL & CO.
Practising Company Secretaries

BAGRI MARKET,
71, CANNING STREET
R.NO.C- 459 4TH FL.
KOLKATA-700001
Email:pramod.agarwal2677@gmail.com
PH.NO.09830107160

**SECRETARIAL COMPLIANCE REPORT OF OCTAVIUS PLANTATIONS LTD
FOR THE YEAR ENDED MARCH 31, 2022**
(Pursuant to SEBI Circular No. CIR/CFD/CMD1/27/2019 Dated February 8, 2019)

To,
OCTAVIUS PLANTATIONS LTD.
CIN: L65910DL1984PLC018466
T-4,OKHLA INDUSTRIAL AREA PHASE 2
NEW DELHI - 110020

I, Pramod Agarwal, Prop. of Pramod Agarwal and Co. Practicing Company Secretaries, have conducted the Secretarial Compliance Audit for the financial year ended March 31, 2022, of the applicable Securities and Exchange Board of India (the "SEBI") Regulations and the circulars/guidelines issued thereunder, for M/s. OCTAVIUS PLANTATIONS LTD (the "Listed Entity"). The audit was conducted in a manner that provided me a reasonable basis for evaluating the statutory compliances and expressing my opinion thereon.

- (a) all the documents and records made available to us and explanation provided by the **Listed Entity**,
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2022 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India;

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018– **Not applicable during the Review Period**;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;



- (d) Securities and Exchange Board of India (Buy back of Securities) Regulations, 2018 - Not applicable during the Review Period;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014 - Not applicable during the Review Period;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 - Not applicable during the Review Period;
- (g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013 - Not applicable during the Review Period;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and
- (i) Other applicable regulations and circulars/guidelines issued thereunder;

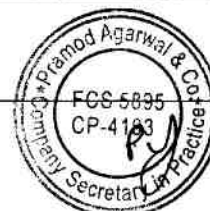
and based on the above examination, I hereby report that, during the Review Period:

The Listed Entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, **except** in respect of matters specified below:

Sr. No.	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
None			

- (a) The Listed Entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my examination of those records.
- (b) The following are the details of actions taken against the Listed Entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations/ remarks of the Practicing Company Secretary, if any.
None				



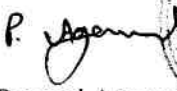
(3)

- (c) The Listed Entity was not required to take any action with regard to compliance with the observations made in previous report as there was no observation in the previous report.

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended March 31, 2021	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
None				

Place : Kolkata
Date : 30.05.2022

For Pramod Agarwal & Co.
Company Secretaries


(CS. Pramod Agarwal)
Proprietor
M. NO. FCS5895
UDIN : F005895D000427415
Peer Review Certificate No.: 977/2020

