

BOMBAY STOCK EXCHANGE LIMITED PHIROZE JEEJEEBHOY TOWERS DALAL STREET MUMBAI – 400001	NATIONAL STOCK EXCHANGE OF INDIA LIMITED “EXCHANGE PLAZA” BANDRA KURLA COMPLEX BANDRA (E) MUMBAI - 400051
Scrip Code: 522074	Scrip Code: ELGIEQUIP

DATE : 12-NOV-2020

Dear Sir/Madam

**Sub: Reporting of Violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.**

With respect to the above subject, enclosed please find the report of violation relating to code of conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015 in the prescribed form.

Kindly acknowledge receipt of this report and update your records.

Thanking you,

For **ELGI EQUIPMENTS LIMITED**



**RAGUNATHAN K  
COMPANY SECRETARY**



**Report by Elgi Equipments Limited (listed company) for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.**

[For listed companies: Schedule B read with Regulation 9 (1) of SEBI (Prohibition of Insider Trading) Regulations, 2015]

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Elgi Equipments Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	<b>A. Details of Designated Person (DP)</b>	
	i. Name of the DP	Mr.Manvinder Singh Anand
	ii. PAN of the DP	AAGPA6221M
	iii. Designation of DP	Vice – President
	iv. Functional Role of DP	Vice – President in charge of CHINA & INTERNATIONAL DIVISION
	v. Whether DP is Promoter or belongs to Promoter Group	No, does not belong to the Promoter Group
	<b>B. If Reporting is for immediate relative of DP</b>	
	i. Name of the immediate relative of DP	Not Applicable
	ii. PAN of the immediate relative of DP	Not Applicable
	<b>C. Details of transaction(s)</b>	
	i. Name of the scrip	Elgi Equipments Limited
	ii. No of shares traded and value (Rs.) (Date-wise)	100 equity shares were purchased for a total consideration of Rs.12,085/- on 7 <sup>th</sup> October 2020.
	<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/ promoter group to	Not Applicable as the traded value is less than Rs.10 lacs in the calendar quarter

**ELGI EQUIPMENTS LIMITED**

Trichy Road, Singanallur, Coimbatore - 641005, Tamilnadu, India

T : +91 422 2589 555, W :www.elgi.com, Toll - free No : 1800-425-3544, CIN : L29120TZ1960PLC000351

	Company under regulation 7 of SEBI (PIT) Regulations, 2015	
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable as the traded value is less than Rs.10 lacs in the calendar quarter
4	Details of violations observed under Code of Conduct	Mr.Manvinder Singh Anand had acquired 100 equity shares of the Company in the open market on the stock exchanges during the period from 5 <sup>th</sup> October 2020 to 9 <sup>th</sup> October 2020, when the trading window of the Company was closed for trading by designated persons and had not made any application to the Company to obtain pre-clearance from the Compliance Officer of the Company for trading in the equity shares of the company in the open market.
5	Action taken by Listed company/ <del>Intermediary/</del> Fiduciary	<p>The Compliance Officer of the Company had issued a Show Cause Notice dated 16<sup>th</sup> October 2020 to Mr.Manvinder Singh Anand for the violation of the Code of Conduct pursuant to which a reply was received from him on 21<sup>st</sup> October 2020.</p> <p>The matter was then reported to the Audit Committee which confirmed the violation of Code of Conduct formulated pursuant to SEBI (Prohibition of Insider Trading) Regulations, 2015 by Mr.Manvinder Singh Anand and levied a penalty of Rs.6,043/- and also prohibited him from selling the shares for a period of 1 year from the date of purchase.</p>
6	Reasons recorded in writing for taking action stated above	Considering the fact that Mr.Manvinder Singh Anand, was not in possession of any unpublished price sensitive information and that the default was not repetitive in nature and that the shares purchased represented a nominal portion of the total share capital of the Company, the Audit Committee was of

		the opinion that the action taken was commensurate with the violation as detailed in point 4 above.
7	Details of the previous instances of violations, if any, since last financial year	Nil
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Online / Demand Draft
	<b>ii. Details of transfer/payment</b>	
	<b>In case of Online:</b>	
	<b>Particulars</b>	<b>Details</b>
	Name of the transferor	ELGI EQUIPMENTS LIMITED
	Bank Name, branch and Account number	HSBC, COIMBATORE AND 115047805001
	UTR/Transaction reference Number	9015H6E5DCHE / HSBCN20317195961
	Transaction date	12-NOV-2020
	Transaction Amount (in Rs.)	Rs. 6043/-
9	Any other relevant information	Nil

Yours faithfully,

**For ELGI EQUIPMENTS LIMITED**

**For ELGI EQUIPMENTS LIMITED**



**RAGUNATHAN K  
COMPANY SECRETARY**

**Ragunathan K**

**Compliance Officer**

PAN: AKTPR7798H

Email ID: ragunathank@elgi.com