



Novartis India Limited
Registered Office:
Inspire BKC
Part of 601 & 701
Bandra Kurla Complex
Bandra (East)
Mumbai – 400 051
Maharashtra, India
Tel +91 22 50243000
Fax +91 22 50243010
Email: india.investors@novartis.com
CIN No. L24200MH1947PLC006104
Website: www.novartis.in

January 7, 2021

The Secretary
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Sub.: Certificate in terms of Regulation 74(5) of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 (“said Regulations”) on behalf of Novartis India Limited (“the Company”) for the quarter ended December 31, 2020

Ref.: 1. **ISIN - INE234A01025**
2. **Scrip Code - 500672**

Dear Sir/ Madam,

In reference to the above captioned subject, the certificate dated January 5, 2021, issued by the Registrar and Transfer Agent of the Company i.e. Link Intime India Private Limited, in terms of the provisions of the said Regulations, for the quarter ended December 31, 2020, is enclosed herewith for your records as **Annexure 1**.

This is for your information and record.

Thanking you.

Yours sincerely,

For **Novartis India Limited**

Trivikram Guda
Company Secretary &
Compliance Officer

Encl.: as above

Cc:

The Secretary
National Securities Depository Limited
Trade World, A wing, 4th Floor, Kamala Mills
Compound, Lower Parel, Mumbai - 400013

The Secretary
Central Depository Services (India) Limited
Marathon Futurex, A-Wing, 25th Floor, NM Joshi
Marg, Lower Parel, Mumbai 400013

Date: 05.01.2021

TO,
NOVARTIS INDIA LTD
INSPIRE BKC, 6TH AND 7TH FLOOR,
BANDRA KURLA COMPLEX,
BANDRA (EAST),
MUMBAI: 400051

Subject: Confirmation Certificate in the matter of Regulation 74(5) of Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018.

Dear Sir,


In reference to the above captioned regulation, we hereby confirm that the securities received from the depository participants for dematerialisation during the quarter ended 31st December, 2020, were confirmed (accepted/rejected) to the depositories by us and that securities comprised in the said certificates have been listed on the stock exchanges where the earlier issued securities are listed.

SEBI vide their Circular Nos. SEBI/HO/MIRSD/DOP/CIR/P/2020/62 dated April 16, 2020; SEBI/HO/MIRSD/DOP/CIR/P/2020/112 dated June 30, 2020 and SEBI/HO/MIRSD/DOP/CIR/P/2020/142 dated July 29, 2020 had extended the time line/period of exclusion (March 23, 2020 to September 30, 2020) for processing the demat requests by the Issuer Company/RTA. We hereby also confirm that the security certificates received for dematerialisation have been mutilated and cancelled after due verification by the depository participant and the name of the depositories have been substituted in the register of members as the registered owner within the time lines mentioned in the aforesaid circulars.

We request you to kindly take note of the above in your records.

Thanking You,

Yours faithfully,
For Link Intime India Pvt. Ltd.


Authorised Signatory