



# Bal Pharma Limited

To,  
The Manager-Listing  
National Stock Exchange of India Ltd  
Mumbai.

19/04/2022

The Manager-Listing  
BSE LIMITED  
Mumbai.

Dear Sir,

Sub : Certificate as per Regulation 40(9) of SEBI(LODR) Regulations,2015.

With reference to the above, we are submitting to the exchange certificate from the practicing company secretary certifying compliance with the above mentioned regulation of SEBI(LODR) Regulations, for half year ended 31.03.2022.

Please take the same on record.

Thanking You.

For Bal Pharma Ltd

Authorised Signatory.

VIJAYAKRISHNA KT  
Company Secretary

#:496/4, II Floor,  
10th Cross,  
Near Bashyam Circle  
Sadashivanagar  
Bangalore- 560 080  
[vijaykt@vjkt.in](mailto:vijaykt@vjkt.in)  
Ph: 080 23610847


### CERTIFICATE

#### IN TERMS OF REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

At the request of Bal Pharma Limited, Bengaluru, I have examined the relevant records, registers relating to transfers as maintained and made available by TSR Darashaw Consultants Private Limited, Mumbai, for the year from 01.04.2021 to 31.03.2022 for the purpose of rendering certificate pursuant to Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

On the basis of such examination and on the basis of information/records provided to me and to the best of my knowledge, I certify that there were no physical transfers, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies during the reporting period.

Date: 18.04.2022  
Place: Bangalore

  
VIJAYAKRISHNA K T  
FCS: 1788 CP: 980  
UDIN: F001788D000146757

