

Ref. no. : Ethos/Secretarial/2023-24/31

Dated: June 19 , 2023

**BSE Limited**  
**Phiroze Jeejeebhoy Towers,**  
**Dalal Street, Mumbai – 400 001**

**National Stock Exchange of India Limited**  
**Exchange Plaza, C-1, Block G,**  
**Bandra Kurla Complex, Bandra,**  
**Mumbai - 400 051**

**Scrip Code : 543532**

**Trading symbol : ETHOSLTD**

**ISIN : INE04TZ01018**

**Subject : Intimation in respect of compliance with SEBI Circular dated March 16, 2023, reference no. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37**

Dear Sir/Ma'am

Greetings from Ethos.

Pursuant to SEBI Circular dated March 16, 2023, reference no. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37 , we are enclosing herewith the Action Taken Report (ATR) sent to SEBI as **Annexure – I** and Intimation sent to physical shareholders as **Annexure – II** by the Registrar and Share Transfer Agent of the Company, KFin Technologies Limited, for and on behalf of the Company in compliance with the aforesaid circular.

We would request you to please take the same in your records and oblige.

Thanking you

Yours truly  
For **Ethos Limited**



**Anil Kumar**  
**Company Secretary & Compliance Officer**  
**Membership no. F8023**

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– **ETHOS LIMITED** –

**Registered Office:**  
Plot No. 3, Sector III, Parwanoo,  
Himachal Pradesh - 173220, India

**Corporate Office:**  
Kamla Centre, S.C.O. 88-89, Sector 8-C,  
Chandigarh - 160009, India

**Head Office:**  
Global Gateway Towers A, 1st Floor, MG Road,  
Sector 26, Gurugram, Haryana - 122002, India

**CERTIFICATE OF COMPLIANCE**

Dear Sir/ Madam,

Sub: Action Taken Report (ATR) submitted to the SEBI.

Ref: SEBI Circular dated March 16, 2023, reference no. SEBI/HO/MIRSD/MIRSD-PoD-1/P/CIR/2023/37

With reference to the subject Circular, we hereby confirm the compliance of the following as per the requirements:

- Clause 16: Upload of the SEBI circular on RTA website:** We confirm having uploaded the SEBI Circular on our website for investors reference and awareness.
- Clause 17: Submission of Certificate of Compliance:** We confirm having implemented the provisions of the Circular in respect of the processes. Further, we have also submitted the "Certificate of Compliance" issued by Practicing Company Secretary (PCS) to the SEBI. The same is uploaded in KARISMA for your ready reference.
- Clause 18: Submission of ATR regarding intimation to the investors who are KYC non-compliant:** The same has been submitted to the SEBI on 31.05.23 on behalf of Issuer Companies serviced by us.

This is for your information and records.

Thanking you,

For KFin Technologies Limited  
(Formerly known as KFin Technologies Private Limited)

SRINIVAS  
SUDHEER  
VENKATAPURAM

Digitally signed by SRINIVAS  
SUDHEER VENKATAPURAM  
Date: 2023.05.31 16:59:57  
+05'30'

**Srinivas Sudheer**  
**Vice President - Corporate Registry**

**KFin Technologies Limited**  
(Formerly known as KFin Technologies Private Limited)

**Registered & Corporate Office:**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda,  
Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

**CIN: L72400TG2017PLC117649**

**ETHOS LIMITED**

(CIN: L52300HP2007PLC030800)

Regd. Office: Plot No. 3, Sector - III, Parwanoo, District Solan (Himachal Pradesh) 173220 Corporate Office: S.C.O.

88-89, Sector 8C, Madhya Marg, Chandigarh 160009

Tel.: +91 172 2548223/24, Fax: +91 172 2548302, website: [www.ethoswatches.com](http://www.ethoswatches.com),Email id: [investor.communication@ethoswatches.com](mailto:investor.communication@ethoswatches.com)

Reference No: 1

Date: 28-04-2023

P RAJENDRAN  
302, C Block, Adarsh Hill, Kumaraswami Layout, Bangalore  
Karnataka 560078

Dear Shareholder,

**Sub.: Furnishing of PAN, KYC details and Nomination by holders of physical securities****Ref.: SEBI Circular dated March 16, 2023**

Season's greetings from ABCD Limited and we hope this communication finds you and your family in safe and good health.

You are aware that in supersession of earlier circulars, SEBI vide the captioned circular dated March 16, 2023 mandated:

- furnishing of PAN, email address, mobile number, bank account details and nomination by holders of physical securities,
- any service request and complaint shall be entertained only upon registration of the PAN, Bank details and the nomination,
- to ensure that your PAN linked to Aadhaar by March 31, 2023 or any other date as may be specified by the Central Board of Direct Taxes to avoid freezing of your folio. Currently CBDDT extended the date till June 30, 2023.

We observe from our records that you have not furnished some of the mandatory documents/details against your holding. We request you to furnish the documents/details, as per the table below, to the Registrars & Transfer Agents M/s KFin Technologies Private Limited immediately on receipt of this letter by sending the duly signed and scanned documents through your registered email to .....

#	Particulars	Status as per records	Please furnish details in
1	PAN*	NO	Form No. ISR-1 (Form enclosed)
2	Address		
3	Email address		
4	Mobile Number		
5	Demat account details		
6	Bank account details	NO	
7	Nomination details*	NO	Form:SH-13 (enclosed)
8	Declaration to opt out nomination*	In case of opting out	Form :ISR-3 (Enclosed)

\*In case you are opting out for giving nomination, submit ISR-3 and SH-13 need not be submitted.

The aforesaid forms can be downloaded from the website of the Company and RTA at: Ethos Limited and [www.kfintech.com](http://www.kfintech.com).

**Freezing of Folios without PAN, KYC details and Nomination:**

- a. Folios wherein any one of the said document / details are not available **on or after October 01, 2023**, shall be frozen and you will not be eligible to lodge grievance or avail service request from the RTA. Further effective April 01, 2024 you will not be eligible for receiving dividend in physical mode.
- b. **After December 31, 2025**, the frozen folios shall be referred by RTA/Company to the administering authority under the Benami Transactions (Prohibitions) Act, 1988 and or Prevention of Money Laundering Act, 2002.

You are requested to forward the duly filled in documents along with the related proofs as mentioned in the respective forms in the attached envelope to the following address:

**KFin Technologies Limited,**  
**Unit: Ethos Limited**  
Selenium Tower B, Plot 31-32, Gachibowli,  
Financial District, Nanakramguda,  
Hyderabad – 500 032  
E-mail: [einward.ris@kfintech.com](mailto:einward.ris@kfintech.com)

The scan copies of the documents may also be mailed through your registered email id with KFIN Technologies (RTA) at the mail id [einward.ris@kfintech.com](mailto:einward.ris@kfintech.com) duly e-Signed on the forms and all proofs.

E-Sign is an integrated service which facilitates issuing a Digital Signature Certificate and performing signing of requested data by e Sign user. The holder/claimant may approach any of the empanelled e Sign Service provider, details of which are available on the website of Controller of Certifying Authorities (CCA), Ministry of Communications and Information Technology (<https://cca.gov.in/>) for the purpose of obtaining an e-sign.

Thanking you,

Yours faithfully,

For **Ethos Limited**

**Anil Kumar**  
**Company Secretary**

Encl : as above

*This communication is computer generated and hence does not require signature.*

**ETHOS LIMITED**

(CIN: L52300HP2007PLC030800)

Regd. Office: Plot No. 3, Sector - III, Parwanoo, District Solan (Himachal Pradesh) 173220 Corporate Office: S.C.O.  
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Tel.: +91 172 2548223/24, Fax: +91 172 2548302, website: [www.ethoswatches.com](http://www.ethoswatches.com),  
Email id: [investor.communication@ethoswatches.com](mailto:investor.communication@ethoswatches.com)

**Reference No: 2**

Date: 28-04-2023

HIREN VED  
401, Vraj, Plot 12, Vithal Nagar, NS Road 10, JVPD Scheme,  
Vile Parle (West), Mumbai 400049

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For **Ethos Limited**

**Anil Kumar**  
**Company Secretary**

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**ETHOS LIMITED**

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Tel.: +91 172 2548223/24, Fax: +91 172 2548302, website: [www.ethoswatches.com](http://www.ethoswatches.com),

Email id: [investor.communication@ethoswatches.com](mailto:investor.communication@ethoswatches.com)

**Reference No: 3**

Date: 28-04-2023

JAPAN VYAS

122, 1B, 12th Floor, Kalpataru Aura, LBS Marg, Mumbai

Maharashtra 400086

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For **Ethos Limited**

**Anil Kumar**  
**Company Secretary**

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**ETHOS LIMITED**

(CIN: L52300HP2007PLC030800)

Regd. Office: Plot No. 3, Sector - III, Parwanoo, District Solan (Himachal Pradesh) 173220 Corporate Office: S.C.O.  
88-89, Sector 8C, Madhya Marg, Chandigarh 160009  
Tel.: +91 172 2548223/24, Fax: +91 172 2548302, website: [www.ethoswatches.com](http://www.ethoswatches.com),  
Email id: [investor.communication@ethoswatches.com](mailto:investor.communication@ethoswatches.com)

**Reference No: 4**

Date: 28-04-2023

SWATI MEHRA  
4, Union Park, Pali Hill, Khar (West), Mumbai Maharashtra 0

Dear Shareholder,

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**Ref.: SEBI Circular dated March 16, 2023**

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Thanking you,

Yours faithfully,

For **Ethos Limited**

**Anil Kumar**  
**Company Secretary**

Encl : as above

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**ETHOS LIMITED**

(CIN: L52300HP2007PLC030800)

Regd. Office: Plot No. 3, Sector - III, Parwanoo, District Solan (Himachal Pradesh) 173220 Corporate Office: S.C.O.

88-89, Sector 8C, Madhya Marg, Chandigarh 160009

Tel.: +91 172 2548223/24, Fax: +91 172 2548302, website: [www.ethoswatches.com](http://www.ethoswatches.com),

Email id: [investor.communication@ethoswatches.com](mailto:investor.communication@ethoswatches.com)

**Reference No: 5**

**Date: 28-04-2023**

RAJNI MOHATTA  
807, Raheja Chambers, 213, Nariman Point, Mumbai  
Maharashtra 400021

Dear Shareholder,

**Sub.: Furnishing of PAN, KYC details and Nomination by holders of physical securities**

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**Anil Kumar**  
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**Reference No: 6**

Date: 28-04-2023

VEENA KUMARI  
Village Beedghaghar, Tehsil and District Panchkula, Haryana  
134109

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**ETHOS LIMITED**

(CIN: L52300HP2007PLC030800)

Regd. Office: Plot No. 3, Sector - III, Parwanoo, District Solan (Himachal Pradesh) 173220 Corporate Office: S.C.O.

88-89, Sector 8C, Madhya Marg, Chandigarh 160009

Tel.: +91 172 2548223/24, Fax: +91 172 2548302, website: [www.ethoswatches.com](http://www.ethoswatches.com),

Email id: [investor.communication@ethoswatches.com](mailto:investor.communication@ethoswatches.com)

**Reference No: 7**

Date: 28-04-2023

YUDHPAL SINGH

Village Beedghaghar, Tehsil and District Panchkula, Haryana  
134109

Dear Shareholder,

**Sub.: Furnishing of PAN, KYC details and Nomination by holders of physical securities**

**Ref.: SEBI Circular dated March 16, 2023**

Season's greetings from ABCD Limited and we hope this communication finds you and your family in safe and good health.

You are aware that in supersession of earlier circulars, SEBI vide the captioned circular dated March 16, 2023 mandated:

- furnishing of PAN, email address, mobile number, bank account details and nomination by holders of physical securities,
- any service request and complaint shall be entertained only upon registration of the PAN, Bank details and the nomination,
- to ensure that your PAN linked to Aadhaar by March 31, 2023 or any other date as may be specified by the Central Board of Direct Taxes to avoid freezing of your folio. Currently CBDT extended the date till June 30, 2023.

We observe from our records that you have not furnished some of the mandatory documents/details against your holding. We request you to furnish the documents/details, as per the table below, to the Registrars & Transfer Agents M/s KFin Technologies Private Limited immediately on receipt of this letter by sending the duly signed and scanned documents through your registered email to .....

#	Particulars	Status as per records	Please furnish details in
1	PAN*	NO	Form No. ISR-1 (Form enclosed)
2	Address		
3	Email address		
4	Mobile Number		
5	Demat account details		
6	Bank account details	NO	
7	Nomination details*	NO	Form:SH-13 (enclosed)
8	Declaration to opt out nomination*	In case of opting out	Form :ISR-3 (Enclosed)

\*In case you are opting out for giving nomination, submit ISR-3 and SH-13 need not be submitted.

The aforesaid forms can be downloaded from the website of the Company and RTA at: Ethos Limited and [www.kfintech.com](http://www.kfintech.com).

**Freezing of Folios without PAN, KYC details and Nomination:**

- a. Folios wherein any one of the said document / details are not available **on or after October 01, 2023**, shall be frozen and you will not be eligible to lodge grievance or avail service request from the RTA. Further effective April 01, 2024 you will not be eligible for receiving dividend in physical mode.
- b. **After December 31, 2025**, the frozen folios shall be referred by RTA/Company to the administering authority under the Benami Transactions (Prohibitions) Act, 1988 and or Prevention of Money Laundering Act, 2002.

You are requested to forward the duly filled in documents along with the related proofs as mentioned in the respective forms in the attached envelope to the following address:

**KFin Technologies Limited,**  
**Unit: Ethos Limited**  
Selenium Tower B, Plot 31-32, Gachibowli,  
Financial District, Nanakramguda,  
Hyderabad – 500 032  
E-mail: [einward.ris@kfintech.com](mailto:einward.ris@kfintech.com)

The scan copies of the documents may also be mailed through your registered email id with KFIN Technologies (RTA) at the mail id [einward.ris@kfintech.com](mailto:einward.ris@kfintech.com) duly e-Signed on the forms and all proofs.

E-Sign is an integrated service which facilitates issuing a Digital Signature Certificate and performing signing of requested data by e Sign user. The holder/claimant may approach any of the empanelled e Sign Service provider, details of which are available on the website of Controller of Certifying Authorities (CCA), Ministry of Communications and Information Technology (<https://cca.gov.in/>) for the purpose of obtaining an e-sign.

Thanking you,

Yours faithfully,

For **Ethos Limited**

**Anil Kumar**  
**Company Secretary**

Encl : as above

*This communication is computer generated and hence does not require signature.*



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Email id: [investor.communication@ethoswatches.com](mailto:investor.communication@ethoswatches.com)

**Reference No: 8**

Date: 28-04-2023

TIKKA AMARJIT SINGH BEDI  
House no. 766, Sector 8-B, Chandigarh Union Territory  
160009

Dear Shareholder,

**Sub.: Furnishing of PAN, KYC details and Nomination by holders of physical securities**

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Yours faithfully,

For **Ethos Limited**

**Anil Kumar**  
**Company Secretary**

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Email id: [investor.communication@ethoswatches.com](mailto:investor.communication@ethoswatches.com)

**Reference No: 9**

Date: 28-04-2023

DIVYA GOYAL  
# 457, Sector Sector 48-A, Chandigarh Union Territory  
160048

Dear Shareholder,

**Sub.: Furnishing of PAN, KYC details and Nomination by holders of physical securities**

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For **Ethos Limited**

**Anil Kumar**  
**Company Secretary**

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**Reference No: 10**

Date: 28-04-2023

PRABHA SARDA  
Flat no. 333 I Block, GH-2, Shikhar Apartments, Sector 5  
MDC, Panchkula, Haryana 134112

Dear Shareholder,

**Sub.: Furnishing of PAN, KYC details and Nomination by holders of physical securities**

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**Anil Kumar**  
**Company Secretary**

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