

# SW INVESTMENTS LIMITED

Regd. Office: 5th Floor, Sunteck Centre, 37-40 Subhash Road, Vile Parle (East) Mumbai 400057.  
CIN No.:L65990MH1980PLC02333 Tel: +22 4287 7800 Fax: +22 4287 7890  
Email Id: cosec@swlindia.com.Website: www.swlindia.com

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**SWIL/BSE/19/20-21**

**Date: 21<sup>st</sup> October, 2020**

**To  
Corporate Relation Department  
BSE Limited  
P. J. Tower, Dalal Street,  
Mumbai – 400 001  
Scrip Code: 503659**

**Sub: Submission of half yearly Compliance Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 30<sup>th</sup> September, 2020**

Sir,

Please find attached herewith Half Yearly Compliance Certificate under Regulation 40 (9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended on 30<sup>th</sup> September, 2020.

Request you to take the same on record and acknowledge the receipt of the same.

Thanking You,

**For SW Investments Limited**



**Sapna Patel  
Company Secretary  
Encl: as above**

**Veeraraghavan. N**  
Practicing Company Secretary  
B. Sc., LLB., A.C.S.

11- H – 304, Neelam Nagar, Phase-2  
Mulund East, Mumbai – 400081  
Mob: 9821528844  
Email: nvr54@ymail.com

**CERTIFICATE UNDER REGULATION 40 OF THE SECURITIES AND  
EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE  
REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED ON 30<sup>th</sup> SEPTEMBER, 2020**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. SW Investments Limited maintained by M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2020 to 30<sup>th</sup> September, 2020 for the purpose of issuing a Certificate as per Regulation 40 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company, I hereby certify that the Company has not received any application/document for registration of transfer, subdivision, consolidation during the half year ended on 30<sup>th</sup> September, 2020.



Veeraraghavan. N  
C. P .No. 4334  
UDIN: A006911B001024587

Date: 21<sup>st</sup> October, 2020  
Place: Mumbai