



Let's talk Forex

## ***Si Capital & Financial Services Limited***

*Where Forex standards are set, not just met*

CIN : L67190TN1994PLC029151

12.05.2020

To  
The General Manager - DCS  
Listing Operations – Corporate Service Dept.  
BSE Ltd.

**Scrip Code: 530907**

Dear Sir/ Madam,

**Sub: Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

We hereby submit the Certificate in terms of Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 obtained from Ms. Liya Antony, the Company Secretary in Practice, for the Half Year ended March 31, 2020.

Kindly take the same on record.

Thank You

For **S.I. Capital & Financial Services Limited**

**ANEETTA C VILSON**  
**Company Secretary & Compliance Officer**

Encl: As above



**LIYA AND ASSOCIATES**  
**COMPANY SECRETARY IN PRACTICE**

Phone: 96564 40562 | 91420 96033 | Email: liyaandassociates@gmail.com

**CERTIFICATE UNDER REGULATION 40 (9) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015**

**FOR THE HALF YEAR ENDED 31<sup>ST</sup> MARCH 2020**

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to **S.I.Capital and Financial Services Limited** maintained by M/s GNSA Infotech Private Limited pertaining to transfer of equity shares of the company for the period from 01<sup>st</sup> October 2019 to 31<sup>st</sup> March 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (LODR) Regulations 2015, by, **S.I.Capital and Financial Services Limited** and based on the information provided by the Company, hereby certify that the Company has delivered during the half year ended on 31<sup>st</sup> March 2020

A) All the Share Certificate relating to the Share Transfer form received during the period from 01<sup>st</sup> October 2019 to 31<sup>st</sup> March 2020 as entered in the Memorandum of Transfers have been issued within one month from the respective date of lodgment of each form except those rejected on technical grounds.

B) has not received any requests for subdivision, consolidation, renewal, exchange of duplicate /split certificates or endorsement of calls/ allotment monies.



Place: Ernakulam  
Date: 08.05.2020

For LIYA AND ASSOCIATES

*Liya Antony*  
LIYA ANTONY  
Company Secretary In Practice  
Membership No: 39611, CP No: 19314  
No: 101, 1st Floor, K George Technology Arcade  
K C Joseph Road, Panampilly Nagar  
Ernakulam, Kerala - 682 036

UDIN : A039611B000217470

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