

83/LG/SE/APRIL/2023/GBSL

April 17, 2023

To
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort, Mumbai – 400 001
Scrip Code: 509079

To
National Stock Exchange of India Limited
Exchange Plaza, Bandra Kurla Complex,
Bandra (E), Mumbai – 400 051
Scrip Symbol: GUFICBIO

Dear Sir/Madam,

Sub.: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to the provisions of Regulation 40(9) & 40(10) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith certificate received from M/s. Manish Ghia & Associates, Practicing Company Secretaries, Mumbai for the financial year ended March 31, 2023.

Kindly take the same on your record.

Thanking you,

Yours faithfully,

For Gufic Biosciences Limited

Ami Shah
Company Secretary and Compliance Officer
Membership No. A39579

Encl.: As above

CERTIFICATE UNDER REGULATION 40 (9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015, FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2023

TO WHOMSOEVER IT MAY CONCERN

We have examined all Share Transfer Forms, Memorandum of Transfers, Registers, files and other documents relating to **Gufic Biosciences Limited** having its registered office at Shop 37, First Floor, Kamala Bhavan II, Swami Nityanand Road, Andheri East, Mumbai-400069 maintained by **Link Intime India Private Limited**, the Registrar & Share Transfer Agent (RTA) of the Company pertaining to transfer of equity shares of the Company for the period from **1st April, 2022 to 31st March, 2023**, for the purpose of issuing certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, by **Gufic Biosciences Limited** and based on information provided by the Company, we hereby certify that during the financial year ended on **31st March, 2023** the Company:

- a) has issued share certificate(s) or letter of confirmation wherever required, relating to request(s) for issue of duplicate share certificate(s) in lieu of original lost/misplaced share certificate(s) received during the year ended 31st March, 2023 within 30 days from the date of lodgement; and
- b) was not required to deliver any share certificates relating to any request for transfer, sub-division, consolidation, renewal, exchange, split or endorsement of calls / allotment monies during the year ended 31st March, 2023.

For Manish Ghia & Associates
Company Secretaries
(Unique ID: P2006MH007100)



Place: Ghaziabad
Date: April 17, 2023
UDIN: F007343E000113548

Pankaj Kumar Nigam
Partner
M. No. FCS 7343, C.P. No. 7979
PR 822/2020