

Mahindra & Mahindra Ltd.

Mahindra Towers, Dr. G. M. Bhosale Marg, Worli, Mumbai 400 018 India

> Tel: +91 22 2490 1441 Fax: +91 22 2490 0833 www.mahindra.com

REF:NS:SEC: 20th April, 2023

National Stock Exchange of India Limited, Exchange Plaza, 3<sup>rd</sup> Floor Plot No.3-1 "G" Block, I.F.B. Centre, Bandra-Kurla-Complex, Bandra (East), Mumbai - 400 051.

Bourse de Luxembourg Societe de la Bourse de Luxembourg Societe Anonyme/R.C.B. 6222 B.P. 165, L-2011 Luxembourg. BSE Limited Phiroze Jeejeebhoy Towers Dalal Street, Fort, Mumbai 400001.

London Stock Exchange Plc 10 Paternoster Square London EC4M 7LS.

Dear Sir,

Sub: <u>Certificate under Regulation 40(9) and 61(4) of the (Listing Obligations and Disclosure Requirements) Regulations, 2015</u>

Pursuant to provisions of Regulation 40(9) and 61(4) of the captioned Regulations, we are submitting herewith certificate from Practicing Company Secretary for the year ended 31st March, 2023.

We request you to kindly take the same on record.

Thanking you,

Yours faithfully, For MAHINDRA & MAHINDRA LIMITED

NARAYAN SHANKAR COMPANY SECRETARY

Encl: a/a

# M Siroya and Company Company Secretaries

A-103, Samved Building (Madhukunj), Near Ekta Bhoomi, Rajendra Nagar, Borivali (E), Mumbai-400 066 Telefax:+91 22 28706523; Mobile: 93243 10151; E-mail:siroyam@gmail.com; www.msiroya.com

# CERTIFICATE UNDER REGULATION 40(9) AND 61(4) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

## FOR THE FINANCIAL YEAR ENDED MARCH 31, 2023

#### 1. Equity Shares

I, Mukesh Siroya, holding Certificate of Practice No. 4157, have, in my capacity as Company Secretary in Whole-time Practice, examined all records containing Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Mahindra and Mahindra Limited** ("Company") maintained by **KFin Technologies Limited** ("KFin") (Erstwhile known as **KFin Technologies Private Limited**) pertaining to transfer of equity shares of the Company for the period from **April 1, 2022 to March 31, 2023** for the purpose of issuing a Certificate as per regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("Regulations") and based on the information provided by the Company, I hereby certify that during the financial year ended on March 31, 2023:

- A) (i) Share Certificate(s) relating to the transfer of shares received as entered in the Memorandum of Transfers have been issued, pursuant to Regulation 40(3) of the Regulations, within fifteen days of the date of lodgment for transfer except those rejected on technical grounds- <u>No physical</u> <u>share transfer request(s) were received during the period;</u>
  - (ii) Share Certificate(s) / Letter of Confirmation(s) have been issued, pursuant to the Regulations, within stipulated time of the respective date(s) of lodgment for deletion, change of name and transmission of shares except those rejected on technical grounds; and
  - (iii) Share Certificate(s) / Letter of Confirmation(s) have been issued, pursuant to the Regulations, within thirty days of the date of lodgment for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies from respective date of lodgment except those rejected on technical grounds.
- B) Any other information, if applicable None

### 2. Non-Convertible Debentures

I, Mukesh Siroya, holding Certificate of Practice No. 4157, have, in my capacity as Company Secretary in Whole-time Practice further certify under Regulation 61(4) of the Regulations that all the Non-Convertible Debentures ("NCDs") issued by the Company are held in dematerialized mode and as such there are no requests received pertaining to transfer/transmission of NCDs, sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies of NCDs of the Company for the period from **April 1**, **2022 to March 31**, **2023**.

For M Siroya and Company Company Secretaries

MUKESH
KUMAR
KUMAR
SIROYA

Mukesh Siroya Proprietor

CP No.: 4157; M. No.: FCS 5682 UDIN: F005682E000152136

Date: April 20, 2023 Place: Mumbai