



SHAMROCK INDUSTRIAL COMPANY LTD.

CIN: L24239MH1991PLC062298

Regd. Off: 83-E, Hansraj Pragji Building, Off Dr. E. Moses Road, Worli, Mumbai - 400 018. India.

Email Id: shamrockfin@gmail.com | Tel.: +91-22 4077 8884 | Fax.: +91-22 2498 3300

Date: 20/04/2021

To,
The Bombay Stock Exchange Ltd
Corporate Relationship Dept,
1stFloor, New Trading Ring,
Rotunda Building, P. J. Towers,
Dalal Street, Fort, Mumbai - 400 001

BSE Scrip Code: 531240

Sub: Submission of Compliance Certificate under Regulation 40(10) of SEBI (LODR) Regulations, 2015 for the half year ended 31.03.2021

Sir/Madam

We are enclosing herewith Compliance Certificate under Regulation 40(9) of SEBI (Listing Obligation & Disclosure Requirement) Regulations, 2015 for the half year ended **31.03.2021** for your information and record.

Kindly acknowledge the Receipt.

Thanking You
Yours Faithfully,
For Shamrock Industrial Company Ltd




Kamlesh Khokhani
(Managing Director)

Place: Mumbai

Encl: a/a

Pankaj Trivedi

B.Com, CS, LLB

Mob: 9224174118

Email: pankajtrivedicsllb@gmail.com

PANKAJ TRIVEDI & Co.


Practicing Company Secretaries
A/601, Maitri Residency, Liberty Garden
Road No.1, Opp. Trimurti Tower, Malad
(West), Mumbai – 400064

CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31ST MARCH, 2021

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Shamrock Industrial Company Limited** maintained by Registrar and transfer Agent **M/s. Bigshare Services Pvt. Ltd.**, at Bharat Tin Works Building, 1st Floor, Opp. Vasant Oasis, Next to Keys Hotel, Makwana Road, Andheri (East), Mumbai - 400059, Maharashtra, pertaining to transfer of Equity Shares of the Company for the period from **01.10.2020 to 31.03.2021** for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company. I hereby certify that the company has delivered, during the half year ended on **March 31st, 2021**

- (a) The Company was not required to deliver Share Certificate relating to the Share Transfer. Since no transfer request was received and pending during the period from 01.10.2020 to 31.03.2021
- (b) The Company was not required to deliver Share Certificates relating to the Share Transmission. Since there was no transmission request received and pending during the period from 01.10.2020 to 31.03.2021.
- (c) The Company has not received any request for Duplicate Share Certificates, Split, Consolidate of Certificates, renewal, exchange or endorsement of calls/allotment monies during the period from 01.10.2020 to 31.03.2021.

For Pankaj Trivedi & Co.
(Company Secretary in Practice)
UDIN: A030512C000125068


Pankaj Trivedi
(Proprietor)
COP: 15301
ACS: 30512



Place: Mumbai
Date: 19th April, 2021