

08<sup>th</sup> April, 2021

To,  
The Listing Department  
National Stock Exchange of India Limited  
Exchange Plaza, C-1, G Block,  
Bandra Kurla Complex,  
Mumbai - 400051  
NSE Symbol: ASALCBR

To,  
The Department of Corporate Services,  
BSE Limited.  
PJ Tower, Dalal Street,  
Mumbai - 400 001  
Scrip Code: 507526

Sub: Compliance Certificate under Reg. 40(9) of SEBI (LODR) Regulation, 2015, for the half year ended as on 31<sup>st</sup> March, 2021.

Dear Sir/Madam,

For the purpose of compliance of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015, please find enclosed herewith Certificate for the half year ended as on 31<sup>st</sup> March, 2021.

For your information and record please.

Thanking You

Yours' faithfully,  
For Associated Alcohols & Breweries Limited

  
Sumit Jaitely  
Company Secretary & Compliance Officer



# AJIT JAIN

M.Com, L.L.B. (Hons.), FCS

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# AJIT JAIN & CO.

COMPANY SECRETARIES

'PREM VILLA' 84, Kailash Park Colony,  
Near Geeta Bhawan, INDORE - 452 001

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE  
BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS)  
REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED ON 31<sup>ST</sup> MARCH, 2021**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, Files and other documents relating to **Associated Alcohols & Breweries Limited ("the Company")** (CIN: L15520MP1989PLC049380), having its registered office at **4th Floor, BPK Star Tower, A.B. Road, Indore - 452008 (M.P.)**, maintained by the Company's Registrar and Share Transfer Agent **M/s. Ankit Consultancy Private Limited** having its registered office at 60, Electronic Complex, Pardeshipura, Indore (M.P.) 452010 pertaining to transfer of equity shares of the Company during the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for the purpose of issuing a certificate as per Regulation 40(9) of Securities And Exchange Board Of India (Listing Obligations And Disclosure Requirements) Regulations, 2015 and other recognized Stock Exchanges and based on the information provided by the Company and its Registrar, I hereby certify that the Company has delivered during half year ended on 31<sup>st</sup> March, 2021.

Share Certificate(s) relating to the transfer of Shares received during the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021, as entered in the Memorandum of transfers have issued within thirty days of the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsements of calls/allotment monies from respective date of lodgment of each deeds, as the case may be, excepting those rejected on technical grounds.

The above certificate has been issued at the request of the Company.

Date: 06.04.2021

Place: Indore



For Ajit Jain & Co.  
Company Secretaries

*A. K. Jain*

Ajit Jain  
Proprietor

COP NO. : 2876, FCS NO.:3933

UDIN : F003933C000028166