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Corp. Office : 1st to 4th Floor, SM House, 11, Sahakar Road, Vile Parle (East), Mumbai - 400 057.  
Tel. : (91-22) 6726 1000 Fax : (91-22) 6726 1068 E-mail : info@guficbio.com, CIN No. L24100MH1984PLC033519

226/LG/SE/OCT/2019/GBSL

25<sup>th</sup> October 2019

To  
The Manager (CRD)  
**BSE Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street, Fort, Mumbai - 400 001

To  
The Manager  
**National Stock Exchange Of India Limited**  
Exchange Plaza, Bandra Kurla Complex,  
Bandra (E), Mumbai - 400 051

Scrip Code : 509079

Symbol : GUFICBIO

Dear Sir,

**Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Pursuant to the provisions of Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we submit herewith certificate received from M/s. Gajanan D. Athavale, Practicing Company Secretary, Mumbai for the half year ended September 30, 2019.

Kindly take the same on your record.

Yours faithfully,

**For Gufic Biosciences Limited**



**Ami N. Shah**  
**Company Secretary & Compliance Officer**  
**Mem No. A39579**



Encl : A/a



## CERTIFICATE

[Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.]

To,  
The Board of Directors,  
Gufic Biosciences Limited  
Mumbai.

BSE Scrip Code: 509079

NSE Scrip Code: GUFICBIO

ISIN: INE742B01025

Company Identification Number: L24100MH1984PLC033519

Based on the reports/certificates by M/s. Link Intime India Pvt. Ltd., the Company appointed RTA, which was further supported by the Company's Management Representation letter dated 25 October 2019; in that respect, I hereby certify that during the period starting from 01 April 2019 and ending with 30 September 2019, *except* DEMAT request of equity shareholdings, neither the Company nor its appointed RTA, Link Intime India Pvt. Ltd. have received any request for REMAT, transfers, transmission, etc. in respect of the equity shares held in physical forms apart from electronic share transfer and/or share transmission and / or request for REMAT, in respect of which the certified reconciled depository position is provided by the Company appointed RTA.

For **Gajanan D. Athavale**  
**Company Secretaries**

**Gajanan D. Athavale**  
**Proprietor**



Membership No. FCS-9177

Certificate of Practice: 10121

UDIN F009177A000167885

Place: Thane

Date: 25 October 2019