



April 12, 2022

**Corporate Relationship Department  
BSE Limited**

Phiroze Jeejeebhoy Towers, Dalal Street,  
Fort, Mumbai 400001

**Capital Markets-Listing**

**National Stock Exchange of India Ltd**

Exchange Plaza, 5<sup>th</sup> Floor, Plot No. C/1,  
G Block, Bandra Kurla Complex, Bandra (East),  
Mumbai 400051

Dear Sir,

**Sub: Regulation 40(9) & 40(10) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Re: Stock Code: 500337 (BSE) / PRIMESECU (NSE)**

Pursuant to the provisions of Regulation 40(9) & 40(10) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we are enclosing herewith the Certificate dated April 12, 2022, from M/s. Pramod Shah & Associates, Practicing Company Secretaries, for the Year ended March 31, 2022.

This is for your information and records.

Thanking you,

Yours faithfully,

For **Prime Securities Limited**

  


**Ajay Shah**  
**Company Secretary**  
(ACS-14359)

**Prime Securities Limited**

1109 / 1110, Maker Chambers V,  
Nariman Point, Mumbai 400021

CIN: L67120MH1982PLC026724

[www.primesec.com](http://www.primesec.com)

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# Pramod S. Shah & Associates

Practising Company Secretaries

3rd Floor, LA-SHEWA Bldg., Next to Fedex, 233, P. D' Mello Road, Opp. St. George Hospital, Near CST, Mumbai - 400 001.  
Tel. : 91-22-2271 7700 / 91-22-2270 1040 • Email : saurabhshah@psaprofessionals.com • Website : cpspa.co.in

## CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS 2015

### FOR THE YEAR ENDED MARCH 31, 2022

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **Prime Securities Limited** maintained by **Link Intime India Private Limited (the RTA)** pertaining to transfer of equity shares of the Company for the period from **01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022** for the purpose of issuing a Certificate as per Clause 40(9) of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 entered into by **Prime Securities Limited** with Stock Exchanges and based on the information provided by the Company, We hereby certify that the Company has delivered during half year ended on **31<sup>st</sup> March, 2022**:

- A) Share Certificate(s) relating to the transfer of Shares received during the period from **01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022** as entered in the Memorandum of Transfers and had been issued within thirty days from respective date of lodgment of each deed excepting those rejected on technical grounds.
- B) The Company has received request for issue of duplicate share certificates and has received requests for transmission of shares during the period from **01<sup>st</sup> April, 2021 to 31<sup>st</sup> March, 2022** and the certificates for the same has been issued within thirty days from respective date of lodgment.

Date: April 12, 2022

Place: Mumbai

  
For Pramod S. Shah & Associates  
Practising Company Secretaries  
Pramod S. Shah - Partner  
Membership No.: 334 (FCS)  
C. P. No.: 3804  
UDIN: F000334D000088697