

April 20, 2021

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 542773	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLSEC
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Dear Sir/Madam,

Sub: Submission of Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended March 31, 2021

Please find enclosed herewith Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the half year ended March 31, 2021

Kindly take the same on record and oblige.

Thanking You,

Yours faithfully,

For IIFL Securities Limited



Meghal Shah

Company Secretary

Email: secretarial@iifl.com

Encl: as above

Ref. _____

UDIN: F004554C000083534

CERTIFICATE UNDER REGULATION 40(9) OF THE SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

FOR THE HALF YEAR ENDED 31.03.2021

I have examined all ~~Share Transfer Deeds~~, Memorandum of Transfers Registers, files and other documents relating to **IIFL SECURITIES LIMITED** maintained by M/s. Link Intime India Private Limited pertaining to transfer of equity shares of the company for the period from 01st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 to IIFL Securities Limited and based on the information provided by the Company and the Registrar, I hereby certify that the Company has not received any request relating to the Transfer, Transmission, Transposition, Name Deletion, Sub-division, Consolidation, Replacement and Exchange of Certificate, Endorsement for calls/allotment Money during half year ended on 31st March, 2021.

Note: Due to COVID-19 outbreak and Lockdown situation, this Certificate has been issued relying on the certificate, information, details, data, documents and explanation provided by the Company and its officers, agents and authorized representatives and Registrar and Transfer Agent in electronic form, without physically verifying at their office.

Date: 14.04.2021

Place: Mumbai

Signature: _____

NILESH G. SHAH.

Company Secretary

C. P. No: 2631

