

May 23, 2019

Listing Department National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G Bandra Kurla Complex, Bandra (E), Mumbai- 400051 Scipcode : AXISCADES The Manager Dptt. of Corporate Services BSE Limited Floor 25 Phiroze Jeejeebhoy Towers Dalal Street, Fort, Mumbai Scripcode: 532395

Dear Sir,

Sub.: Annual Secretarial Compliance Report for the year ended March 31, 2019

Please find enclosed herewith Annual Secretarial Compliance Report for the year ended March 31, 2019 as required under SEBI Circular No CIR/CFD/CMD1/27/2019 dated February 8, 2019.

Kindly take the same on records.

Yours truly, For AXISCADES Engineering Technologies Limited

Thevela LOUNT

Shweta Agrawal Company Secretary

AXISCADES Engineering Technologies Limited

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Anant Khamankar

B.COM. LL.B. F.C.S.

ANANT B KHAMANKAR & CO. COMPANY SECRETARIES HANDHOLDING ENTREPRENEURS. WORLDWIDE.

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SECRETARIAL COMPLIANCE REPORT OF AXISCADES ENGINEERING TECHNOLOGIES LIMITED FOR THE FINANCIAL YEAR ENDED MARCH 31, 2019.

To,

AXISCADES Engineering Technologies Limited Block C, Second Floor, Kirloskar Business Park, Bengaluru 560024

We have examined:

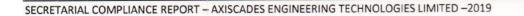
- (a) All the documents and records made available to us and explanation provided by AXISCADES Engineering Technologies Limited ("the Listed Entity").
- (b) The fillings/ submissions made by the Listed Entity to the stock exchanges,
- (c) Website of the Listed Entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2019 ("Review Period") in respect of compliance with the provisions of:

- i. the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, Circulars, Guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 ("SCRA"), Rules made thereunder and the Regulations, Circulars, Guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;



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- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities Exchange Board of India (Buyback of Securities) Regulations, 2018; (not applicable to the Company for the period under review)
- (e) Securities Exchange Board of India (Share Based Employee Benefits) regulations, 2014;
- (f) Securities Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;
- (g) Securities Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference shares) Regulations, 2013; (not applicable to the Company for the period under review)
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- Securities and Exchange Board of India (Depositories and Participants) Regulations 2018; and

Based on the above examination, we hereby report that, during the Review Period:

(a) The Listed Entity has complied with the provisions of the above Regulations and Circulars/ Guidelines issued thereunder, except in respect of matter specified below:

Sr.	Compliance	Requirement	Deviations	Observations/
No.	(Regulations/ Circ	ulars/ Guidelines		Remarks of the
	including specific	clause)		Practicing
				Company
				Secretary
		N	IL	

- (b) The Listed Entity has maintained proper records under the provisions of the above Regulations and Circulars/ Guidelines issued thereunder insofar as it appears from our examination of those records.
- (c) The following are the details of action taken against the Listed Entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and Circulars/ Guidelines issued thereunder:

Sr.	Action	Details of violation	Details of action	Observations/
No.	taken by		taken E.g. fines, warning letter,	and contains
			debarment, etc.	Company
				Secretary, if any

SECRETARIAL COMPLIANCE REPORT -- AXISCADES ENGINEERING TECHNOLOGIES LIMITED -2019

1	National	As per Regulation 33 of	The National	The Company
8	Stock	SEBI (Listing Obligations &	Stock Exchange	has paid the fine
	Exchange	Disclosure Requirements)	of India Limited	of Rs. 5000 on
	of India	Regulations, 2015, the	via letter Ref.	June 22, 2018.
	Limited	Company was required to	No.: Fines/2018-	
		submit audited financial	19/50706 dated	
		results within 60 days	June 15, 2018	
		from the end of financial	levied a fine of Rs.	
		year i.e. by May 30, 2018.	5000 to be paid	
		The required submission	before July 02,	
		was done after due date	2018.	
		i.e. on May 31, 2018.		

(d) The Listed Entity has taken the following actions to comply with the observations made in the previous reports:

Sr.	Observations	of the	Observations	Actions taken	Comments of
No.	Practicing		made in the		the Practicing
	Secretary in report	previous	secretarial compliance	entity if any	Company Secretary on
			report for the		the actions
			year ended 31.03.2019		taken by the listed entity
			Not Applicable	1	

FOR ANANT B KHAMANKAR & CO.

ANANT KHAMANKAR

FCS No. - 3198 CP No. - 1860

DATE : MAY 22, 2019 PLACE : MUMBAI

