

SL/BSE/NSE/2021-22/

April 15, 2021

The Manager,  
Listing Department,  
**National Stock Exchange of India Ltd.,**  
'Exchange Plaza' C-1 , Block G,  
Bandra-Kurla Complex, Bandra (E),  
Mumbai-400 051.  
**Security ID: SUBROS**

Dy. General Manager,  
Department of Corporate Services,  
**BSE LIMITED,**  
First Floor, P.J. Towers,  
Dalal Street, Fort,  
Mumbai – 400001.  
**Security ID: 517168**

Dear Sir,

**Sub: Half Yearly Compliance Certificate**

**Ref: Regulation 40(9) of the SEBI (Listing Obligations & Disclosure Requirements) Regulations, 2015**

We are enclosing herewith a Compliance Certificate in accordance with Regulation 40(9) and 40(10) of the SEBI (Listing Obligations & Disclosure Requirements) Regulation, 2015 for the half year ended 31<sup>st</sup> March, 2021 issued by Practicing Company Secretary.

Kindly take the same on your record.

Thanking you,

Yours faithfully,  
For SUBROS LIMITED

  
Rakesh Arora  
Company Secretary

  
SUBROS LIMITED

**Corporate & Registered Office:** LGF, World Trade Centre, Barakhamba Lane, New Delhi 110001 (India). **Tel:** 23414946-49 | **Fax:** 01123414945

**Noida Office:** B - 188, Phase - II, Noida 201304, Distt: Gautam Budh Nagar (U.P.) **Tel:** +91 1202562226, 2460135 | **Fax:** +91 120 2562783

**Website:** www.subros.com | **CIN:** L74899DL1985PLC020134

**CERTIFICATE UNDER REGULATION 40(9) OF SEBI (LISTING OBLIGATIONS AND  
DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

**FOR THE HALF YEAR ENDED MARCH 31, 2021**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to SUBROS LIMITED maintained by their share transfer agent MCS SHARE TRANSFER AGENT LIMITED having its Corporate Office at F-65, 1<sup>st</sup> Floor, Okhla Industrial Area Phase – I, New Delhi 110 020 pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI(LODR) Regulations, 2015 and based on the information provided by the Company/Registrar and Share Transfer Agent, We hereby certify that during half year ended on 31<sup>st</sup> March, 2021:

- A) The Company has not received any request for transfer of shares in physical form during the half year ended on 31<sup>st</sup> March, 2021 and as such, no occasion has arisen for delivering the share certificates relating to the Transfer Deeds lodged in the said period or re-lodged thereafter; and
- B) The Company has delivered, during half year ended on 31<sup>st</sup> March, 2021, share certificates in respect of requests relating to issuance of duplicate share certificate, transmission of securities, deletion of name, renewal, exchange, split and consolidation, if any, received during the period from 1<sup>st</sup> October, 2020 to 31<sup>st</sup> March 2021, within thirty days, from the respective date of lodgment.

For R S M & Co.

Company Secretaries

FRN P1997DE017000



**RAVI SHARMA**

C.P. No. 3666

UDIN F004468C000099541

Place: New Delhi

Date: 15.04.2021