



KALPA-TARU®

KALPATARU POWER TRANSMISSION LIMITED

Factory & Registered Office :
Plot No. 101, Part-III, G.I.D.C. Estate, Sector-28,
Gandhinagar-382 028, Gujarat. India.
Tel. : +91 79 232 14000
Fax : +91 79 232 11951/52/66/71
E-mail : mktg@kalpatarupower.com
CIN : L40100GJ1981PLC004281

KPTL/23-24
April 21, 2023

BSE Limited Corporate Relationship Department Phiroze Jeejeebhoy Towers, Dalal Street, Fort, Mumbai - 400 001 <u>Scrip Code: 522287</u>	National Stock Exchange of India Ltd. 'Exchange Plaza', C-1, Block 'G', Bandra-Kurla Complex, Bandra (E), Mumbai – 400 051 <u>Scrip Code: KALPATPOWR</u>
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**Sub.: Certificate under Regulation 40(9) of the Securities and Exchange Board of India
(Listing Obligations and Disclosure Requirements) Regulations, 2015**

Respected Sir,

Please find enclosed herewith a copy of Certificate issued by M/s. Kapoor & Ved, Company Secretaries, certifying the compliance under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the year ended 31st March, 2023.

Kindly take the same on your record.

Thanking you,

Yours faithfully,
For **Kalpataru Power Transmission Limited**

Shweta Girotra
Company Secretary & Compliance Officer

ISO 9001 CERTIFIED COMPANY

Corporate Office : 81, Kalpataru Synergy, Opp. Grand Hyatt, Santacruz (E), Mumbai-400 055. India.
Tel. : +91 22 3064 2100 ■ Fax : +91 22 3064 2500 ■ www.kalpatarupower.com



Kapoor & Ved Company Secretaries

Head Office : 304, Shoppers Plaza-V, Opp. Municipal Market, C. G. Road, Navrangpura, Ahmedabad - 380 009

Telefax : 079 - 26464153 / 48904153 • Mobile +91 98250 35998

E mail : umeshvedcs.office@airtelmail.in • info@umeshvedcs.com • Website : www.umeshvedcs.com

Branch Office : 4th Floor, Shaival Plaza, Gujarat College Road, Ellisbridge, Ahmedabad-380 006.
Phone : 26420336/7/9 • Fax : 2642 4100 • Mobile : 98250 63147 • e-mail : ravi@ravics.com

CERTIFICATE UNDER REGULATION 40 (9) OF THE SEBI (LODR) REGULATIONS FOR THE YEAR ENDED 31ST MARCH, 2023

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 1st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 1st April, 2019. Further, SEBI vide its circular dated 7th September, 2020 had fixed 31st March, 2021 as the cut-off date for re-lodgment of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 2nd December, 2020.

SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 has mandated that companies shall effect issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal/ Exchange of securities certificate, Endorsement, Sub-division/Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, we have examined all Share transfer deeds, Memorandum of transfers, Registers, files and other documents relating to **M/s. Kalpataru Power Transmission Limited** having its registered office at Plot No. 101, Part III, G.I.D.C Estate, Sector-28, Gandhinagar-382028 maintained by their Registrar and Share Transfer Agent **M/s. Link Intime India Private Limited** pertaining to transfer of Equity Shares of the Company for the Period from **01st April, 2022 to 31st March, 2023** for the purpose of issuing a Certificate as per Regulations 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the listing Agreement entered into by **M/s. Kalpataru Power Transmission Limited**, with the Stock Exchanges.

Based on our examination of records of the Company, in our opinion and to the best of the information and according to explanation given to us, we hereby certify that

1. The Company has delivered during the financial year ended on 31st March, 2023 the letter of Confirmation in respect of deletion of name within stipulated time from respective date of lodgment.
2. A letter of Confirmation relating to the transfer of shares received during the period from **1st April, 2022 to 31st March, 2023** as entered in the Memorandum of Transfers have been issued within stipulated time from the date of lodgment for transfer, and no request for sub-division, consolidation, renewal, exchange or endorsement of calls/allotment monies have been received.

Place: Ahmedabad

Date: 21st April, 2023



Ashish Shah

Partner

Kapoor & Ved

Company Secretaries

CP No. 4178 M.No. 5974

UDIN: F005974E000161079