



Biocon Limited

20th KM, Hosur Road
Electronic City
Bangalore 560 100, India
T 91 80 2808 2808
F 91 80 2852 3423

CIN : L24234KA1978PLC003417

www.biocon.com

June 21, 2021

To The Manager BSE Limited Department of Corporate Services Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai – 400 001 Scrip Code - 532523	To The Manager, National Stock Exchange of India Limited Corporate Communication Department Exchange Plaza, Bandra Kurla Complex Mumbai – 400 050 Scrip Symbol - Biocon
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Subject: - Newspaper Advertisement for transfer of equity shares to IEPF Authority.

Dear Sir / Madam,

In Compliance with the provision of Regulation 47 and other applicable provisions of SEBI (Listing Obligation and Disclosure Requirements), Regulations, 2015, please find enclosed the following documents:

1. Copies of newspaper advertisement published in Financial Express (English Language – All India Edition) and Vijayavani, (Kannada Language – Bengaluru Edition) on June 17, 2021;
2. Copy of reminder letter sent to eligible shareholders, who have not claimed their dividend for seven consecutive years or more and whose shares are liable for transfer to the IEPF Authority.

The above information will also be available on the website of the Company at www.biocon.com.

Kindly take the same on record and acknowledge.

Thanking You,

Yours faithfully,

For **Biocon Limited**



Mayank Verma
Company Secretary and Compliance Officer



BIOCON LIMITED

CIN: L24234KA1978PLC003417

Regd. Office: 20th KM, Hosur Road, Bengaluru – 560 100, Karnataka, India

Tel: 080-2808 2808, Fax: 080-2852 3423

Website: www.biocon.com; E-mail: co.secretary@biocon.com

NOTICE

Transfer of equity shares of the Company to Investor Education and Protection Fund ("IEPF")

Members are hereby informed that pursuant to the provisions of Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ("the IEPF Rules"), the **Final Dividend declared for the financial year 2013-14**, which remains unpaid or unclaimed for a period of seven years will be transferred to the IEPF **on or before September 30, 2021**. The corresponding shares on which dividend was unpaid or unclaimed for seven consecutive years will also be transferred, as per procedure set out in the rules.

In compliance to the IEPF rules, the Company has communicated to the concerned shareholders individually whose shares are liable to be transferred to the IEPF under the said IEPF Rules for taking appropriate action. The Company has also uploaded full details of such shareholders and shares due for transfer to the IEPF suspense account including the details of unpaid or unclaimed dividend on such shares on its website at <https://www.biocon.com/investor-relations/>.

Members are requested to claim the **Final Dividend declared for the financial year 2013-14 and subsequent years dividend** before the same is transferred to the IEPF.

In this connection, please note the following:

- a. **Members holding shares in physical form:** The Company would be issuing duplicate share certificate(s) in lieu of the original held by them for the purpose of transfer of shares to the IEPF and upon such issue, the Company shall inform the depository by way of corporate action to convert the duplicate share certificate(s) into DEMAT form and transfer in favor of the IEPF. The original share certificate(s) which are registered in the name of members will stand automatically cancelled and be deemed non-negotiable;
- b. **Members holding shares in dematerialized form:** The Company shall inform the depository by way of corporate action to transfer of shares in favor of the IEPF Authority.

In case, the Company does not receive any communication from the concerned members on or before August 31, 2021, the Company shall in order to comply with the IEPF Rules, transfer the unpaid or unclaimed dividend to the IEPF Authority on or before the due date i.e. September 30, 2021.

The corresponding shares on which dividend is unpaid or unclaimed for seven consecutive years, shall also be transferred without any further notice.

Please note that no claim shall lie against the Company in respect of unclaimed dividend amount and shares transferred to IEPF.

Members are eligible to claim both the unpaid or unclaimed dividend and corresponding shares transferred to the IEPF including all benefits accruing on such shares, if any, from the IEPF Authority by submitting an online application in the Form IEPF-5 available on the website at www.iepf.gov.in and sending a duly signed physical copy of the same to the Nodal Officer of the Company at its registered office along with requisite documents enumerated in the Form IEPF-5 and thereafter the Nodal Officer of the Company shall file online e-verification report with IEPF authority within stipulated timeline.

In case of any queries on the above matter, members are requested to contact Mr. D. Suresh Babu, Deputy Manager, at M/s. KFin Technologies Private Limited (Formerly known as Karvy Fintech Private Limited), Registrar and Share Transfer Agent of the Company (Unit: Biocon Limited), at Karvy Selenium Tower B, Plot number 31 & 32, Gachibowli, Financial District, Nanakramguda, Serilingampally Mandal, Hyderabad-500032. Tel: +91 040 6716 1518 email: suresh.d@kfintech.com; einward.ris@kfintech.com.

For Biocon Limited
Sd/-
Mayank Verma
Company Secretary

Place: Bengaluru
Date : June 16, 2021





Biocon Limited

CIN: L24234KA1978PLC003417

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Website: www.biocon.com; E-mail: co.secretary@biocon.com

BIOCON/IEPF/REM/1

June 16, 2021

Name of Shareholder:

Address:

Name of the Second Holder:

Name of the Third Holder :

Folio/DPID/CLID No.: Folio :

Dear Shareholder(s),

Sub.: Unclaimed Dividend and Transfer of Equity Shares of Biocon Limited to the Investor Education and Protection Fund (IEPF) Suspense Account for the FY 2013-14.

Ref: Pursuant to Section 124(6) of the Companies Act, 2013 read with the Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ("IEPF Rules").

We draw your kind attention to the dividend warrant issued by the Company for the dividend declared for the financial year 2013-14 on July 25, 2014, we find from our records that the said dividend warrant has not been encashed by you.

As per section 124(5) of the Companies Act, 2013, any money transferred to the Unpaid Dividend Account of the Company, which remains unpaid or unclaimed for a period of seven years from the date of such transfer shall be transferred by the Company to the Investor Education and Protection Fund ("IEPF") established by Central Government. The Company has regularly uploaded on its website and also on the website of the Ministry of Corporate Affairs, Government of India, full details of such unpaid or unclaimed dividend before transferring the same to IEPF Authority.

Further, Section 124(6) read with IEPF Rules requires that all shares in respect of which Dividend has not been paid or claimed for seven consecutive years, shall also be transferred to IEPF Suspense Account in the name of the Company.

As you are aware, dividend declared by the Company is remitted either electronically or by sending dividend warrant(s) to the registered address of the shareholders. In case of any dividend remains unpaid or unclaimed, the concerned shareholders were sent periodic reminder letters/ reminders by way of a note in the Notice convening the Annual General Meetings of the Company to claim such unpaid or unclaimed dividend.

The subject dividend amount pertaining to you can be claimed on or before August 31, 2021 and subsequently, it will be transferred to the IEPF. Please note that no claim shall lie against the Company in respect of unclaimed dividend amount and the underlying shares shall be transferred to IEPF Authority pursuant to the said Rules.

Kindly note that all subsequent corporate benefits accruing on such shareholding shall also be credited in favour of the IEPF Authority.

As per our records, the following dividends which were paid / remitted to you in the last seven consecutive years are still unpaid or unclaimed as on date:

Financial Year ended	Interim / Final Dividend	Date of declaration of Dividend	No. of Equity Shares	Dividend Amount (Rs.)
2013-14	FINAL	25/07/2014		
2014-15	INTERIM	10/04/2015		
2015-16	INTERIM	19/03/2016		
2016-17	FINAL	28/07/2017		
2017-18	FINAL	27/07/2018		
2018-19	FINAL	26/07/2019		

Number of Equity Shares liable for transfer to IEPF Suspense Account:

Note – Unpaid Dividends up to Financial Year 2012-13 have already been transferred to IEPF authority, as per erstwhile IEPF Rules.



ACTION TO BE TAKEN BY THE SHAREHOLDER:

You are requested to immediately claim your unpaid or unclaimed dividends by making an application in the enclosed Application-cum-Undertaking and avoid the transfer of your shares to IEPF suspense account by sending the following documents to us or KFin Technologies Private Limited, Registrar and Share Transfer Agent ("RTA") of the Company at the address mentioned in the table below, **on or before August 31, 2021.**

- Application cum Undertaking, duly filled, signed and notarized as applicable;
- Self-Attested copy of PAN Card and Address Proof;
- Copy of cancelled cheque.

In case we do not receive your claim on or before August 31, 2021, we shall, in accordance with the requirements of the said Rules, transfer the shares to IEPF Suspense Account by the due date as per the procedure stipulated in the Rules, without any further notice.

In case you are holding of original dividend warrant(s), please forward the same to our RTA for revalidation.

You are also requested to mandatorily update your NACH with Company's RTA/Depository Participants, as the case may be, to enable timely payment of your dividend in future, through NACH facility.

With a view to comply with the requirements as set out in the Rules, we shall initiate necessary action for transfer of shares to IEPF Suspense Account in the name of the Company. As per the above mentioned Rules, shares held in physical form are liable to be transferred to IEPF Suspense Account in the name of the Company, by issuing duplicate share certificate(s) and upon issue of such duplicate share certificate(s), the original share certificate(s) which are presently registered in your name will stand automatically cancelled and deemed non-negotiable.

In case shares are held in demat form and are liable to be transferred to IEPF Suspense Account in the name of the Company, the Company will provide Delivery Instruction Slip to the concerned depository for transfer of shares to IEPF Suspense Account in the name of the Company.

Please note that subsequently, you are eligible to claim from IEPF Authority both the unclaimed dividend amount and the equity shares transferred to IEPF Suspense Account by making an online application in **Form IEPF-5** and by sending the physical copy of the same duly signed (as per registered specimen signature) along with requisite documents enumerated in the said Form IEPF-5 to the Nodal Officer of the Company at its Registered Office or to KFin Technologies Private Limited, RTA of the Company for verification of the claim. The Company on receipt the such application from the shareholder, shall file an online verification report to IEPF Authority for refund of the unclaimed dividend amount and/or transfer of shares back to the demat account of the shareholder. Kindly note that as per the above Rules, only one such request can be made in a year by the shareholder.

In case of any further clarifications or assistances in this regard, please contact to:

To, Mayank Verma Company Secretary and Nodal Officer Biocon Limited 20 th KM Hosur Road, Electronics City Bangalore - 560100 Tel:+91- 80 2808 2808 Fax:+91- 80 2852 3423 Email: co.secretary@biocon.com Website: www.biocon.com	To, Mr. D Suresh Babu Manager KFin Technologies Private Limited (Formerly known as Karvy Fintech Private Limited) Unit: Biocon Limited Karvy Selenium Tower B, Plot 31-32, Gachibowli, Financial District, Nanakramguda, Hyderabad – 500 032 Tel.: + 91-40-6716 1518 , Fax No.: + 91-40-2300 1153 Email: suresh.d@kfintech.com ; einward.ris@kfintech.com Website: www.kfintech.com
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Thanking you,

Yours faithfully,

For Biocon Limited

Sd/-

Mayank Verma

Company Secretary and Nodal Officer

**Application-Cum-Undertaking****FOR ISSUE OF DUPLICATE DEMAND DRAFT / PAY ORDER**

(To be executed Rs.100/- Non-Judicial Stamp paper if the amount to be claimed more than Rs. 1500/-)

KFin Technologies Private Limited

(Formerly known as Karvy Fintech Private Limited)

(Unit: Biocon Limited)Karvy Selenium, Tower B, Plot 31-32, Gachibowli,
Financial District, Nanakramguda,
Hyderabad – 500 032

REF : FOLIO/DPID/CLIENT ID :

Sub : Transfer of Equity Shares of the Company to Investor Education and Protection Fund (IEPF) Suspense Account

I/We hereby confirm that I/We have not encashed any of the Dividends paid by the Company in previous Financial Years, so as to create any adverse claim upon the amount of the Dividend warrant(s). The following are the details of the unclaimed Dividends of the subsequent financial years:

Dividend Warrant No.	MICR. No. / DD. No.	Amount(s) (Rs.)	Date of Payment
			31/07/2014
			15/04/2015
			29/03/2016
			03/08/2017
			03/08/2018
			02/08/2019

In consideration of your issuing to me/us all Demand Draft(s)/Pay Order(s) in lieu of the Dividend Warrant(s) lying unclaimed/ unpaid in my/our name(s) and which are irretrievable /lost or misplaced or crediting the amounts directly to my bank account as mentioned below, I/We hereby agree and undertake to hold the Company, its Directors and officers harmless and to keep them protected from / against all losses, costs or damages which you may sustain or incur by reason of the Company issuing Demand Draft(s)/ Pay Order(s) or by the original Dividend Warrant(s) being, at any time, found and presented for payment by any person or persons claiming to be the holder(s) of the Dividend Warrant(s) or in any way interested therein. I/We further agree and undertake to return to you the original Dividend Warrants, should it be found by me/us or again come into my/our possession at any time hereafter.

	Name		Signature	
First Holder:				
Second holder:				
Third holder:				
Address of first holder:				
Bank Account Details : (for electronic credit of unpaid dividends and all future dividends)				
Name of the Bank				
Name of the Branch				
Account Number (as appearing in your cheque book)				
Account Type (Saving / Current / Cash Credit)	<u>10 – Saving</u>	<u>11 – Current</u>	<u>13 – Cash Credit</u>	
9 Digit MICR Number (as appearing on the MICR cheque issued by the bank) Please enclose a photocopy of a cheque for verification				
11 Digit IFSC Code				
Witness1:	Witness2:			
Name :	Name :			
Address :	Address :			
Signature :	Signature :			

Dated:

Note: For the undertaking to be considered complete, please ensure the address and bank details of first holder and joint holder(s), as applicable, are filled correctly and it is duly signed by all the holders and two witnesses.