

Honeywell

Honeywell Automation India Limited
CIN: L29299PN1984PLC017951
Regd. Office: 56 & 57,
Hadapsar Industrial Estate,
Pune - 411 013, Maharashtra
Tel: +91 20 7114 8888
E-mail: India.Communications@Honeywell.com
Website: <https://www.honeywell.com/in/en/hail>

April 12, 2021

To
The Manager – Compliance Department
National Stock Exchange of India Limited
'Exchange Plaza' Bandra Kurla Complex,
Bandra (East) Mumbai 400051
NSE Symbol : HONAUT

To
The Manager – Compliance Department
BSE Limited
Floor 25, P.J.Tower, Dalal Street
Mumbai 400001
BSE Scrip Code 517174

Dear Sir,

Sub: Certificate under Regulation 40 (9) for the half year ended March 31, 2021

Pursuant to Regulation 40(10), please find enclosed the Certificate under Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the half year ended March 31, 2021.

The above is for your information and record.

Thanking You,

Yours Sincerely,

For Honeywell Automation India Limited



**Farah Irani
Company Secretary**

Encl: A/a

PARIKH & ASSOCIATES
COMPANY SECRETARIES

Office

111, 11th Floor, Sai-Dwar CHS Ltd
Sab TV Lane, Opp Laxmi Industrial Estate,
Off Link Road, Above Shabari Restaurant,
Andhari (W), Mumbai - 400053
Tel No 26301232 / 26301233 / 26301240
Email : cs@parikhassociates.com
parikh.associates@rediffmail.com

CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEAR ENDED 31st MARCH, 2021.

The Securities and Exchange Board of India vide gazette notification dated 8th June, 2018 and vide its press release dated 31st December, 2018, amended Regulation 40 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and has mandated that the transfer of securities would be carried out only in dematerialized form w.e.f. 01st April, 2019. SEBI vide its press release dated 27th March, 2019 clarified that the transfer deeds lodged prior to deadline and returned due to deficiency in the document may be re-lodged for transfer even after the deadline of 01st April, 2019. Further, SEBI vide its circular dated 07th September, 2020 has fixed 31st March, 2021 as the cut-off date for re-lodgement of such transfer deeds and the shares that are re-lodged for transfers shall be issued only in demat mode. SEBI has specified operational guidelines for transfer and dematerialization of re-lodged physical shares vide its circular dated 02nd December, 2020.

Accordingly, on the basis of examination of the relevant documents relating to HONEYWELL AUTOMATION INDIA LIMITED ('the Company') maintained and provided electronically by TSR Darashaw Consultants Private Limited, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01st October, 2020 to 31st March, 2021 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. We hereby certify that:

A) 1.a. The Company has sent during half year ended on 31st March, 2021 letters of confirmation as per SEBI's operational guidelines for execution of transfers on such re-lodged transfer deeds, received during the period from 01st October, 2020 to 31st March, 2021,

1. b. The Company has delivered during half year ended on 31st March, 2021 share certificates in respect of requests relating to transmission of securities/ deletion of name received during the period from 01st October, 2020 to 31st March, 2021, within thirty days, from the respective date of lodgment. As informed to us there were no requests relating to transposition of securities, renewal, sub-division (split), consolidation, exchange or endorsement of calls/allotment monies received during the said period.

B) Any other information, if applicable: --

Place: Mumbai
Date: April 12, 2021

For Parikh & Associates

P N
Parikh

P. N. Parikh

FCS: 327 CP: 1228
UDIN: F000327C000051796